

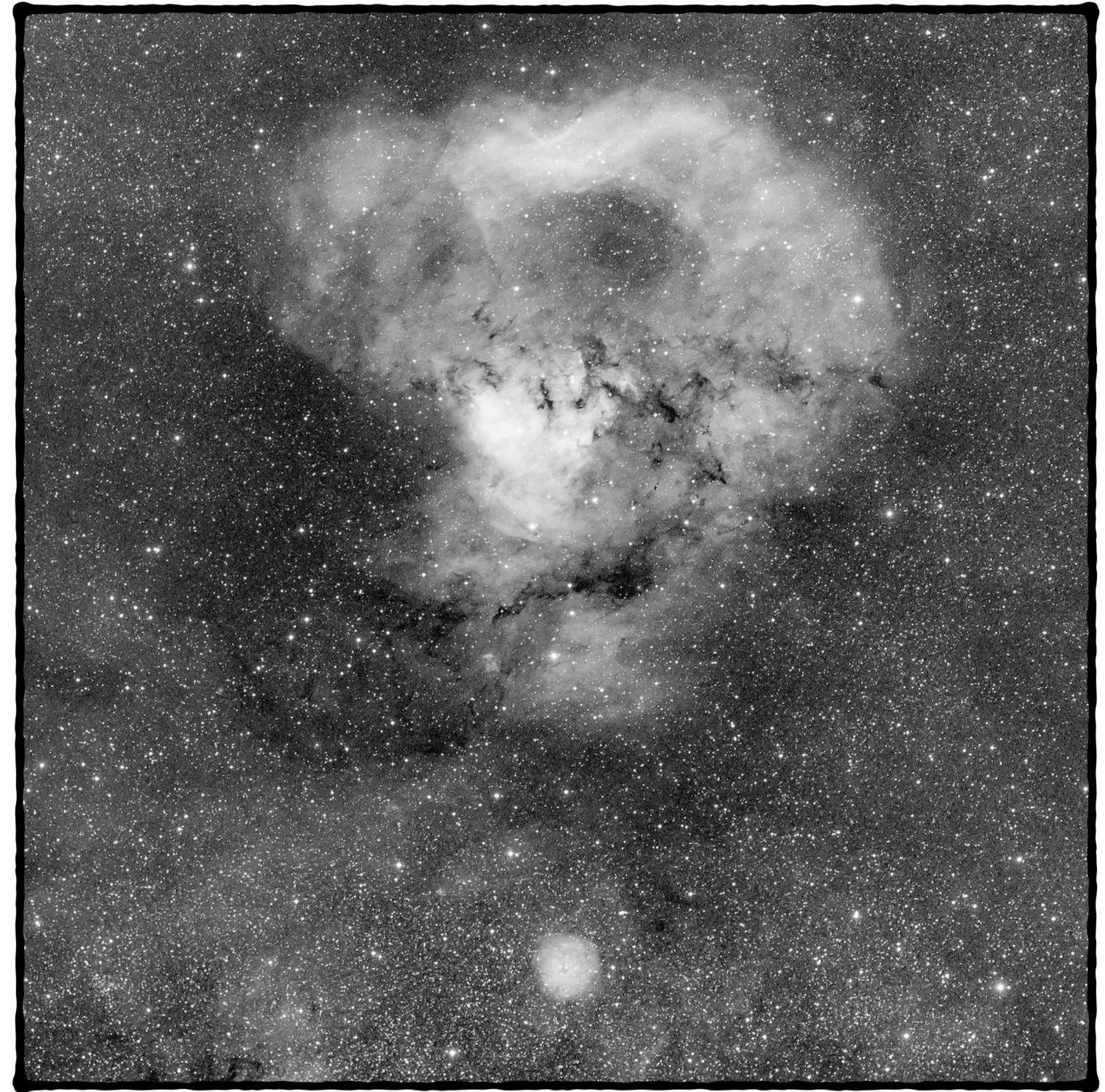
# Non-LTE

Anish Amarsi (Uppsala University)

Tartu stellar spectroscopy workshop, 27 September 2023

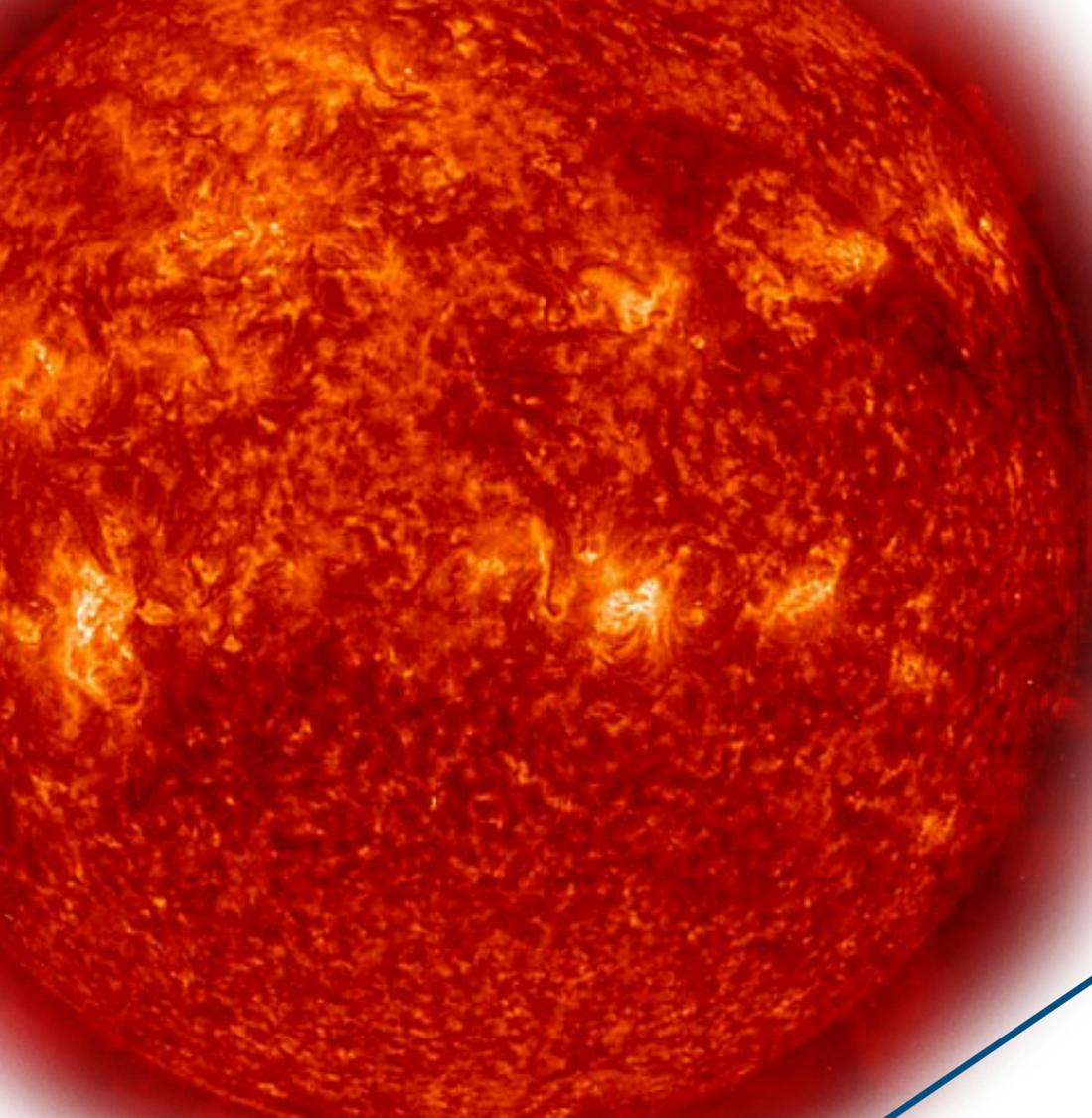
# Outline

- Motivation 15min
- Theory and methods 10min
- Typical non-LTE effects 20min
- Applying non-LTE corrections 5min
- **Questions** (at anytime...) 10min

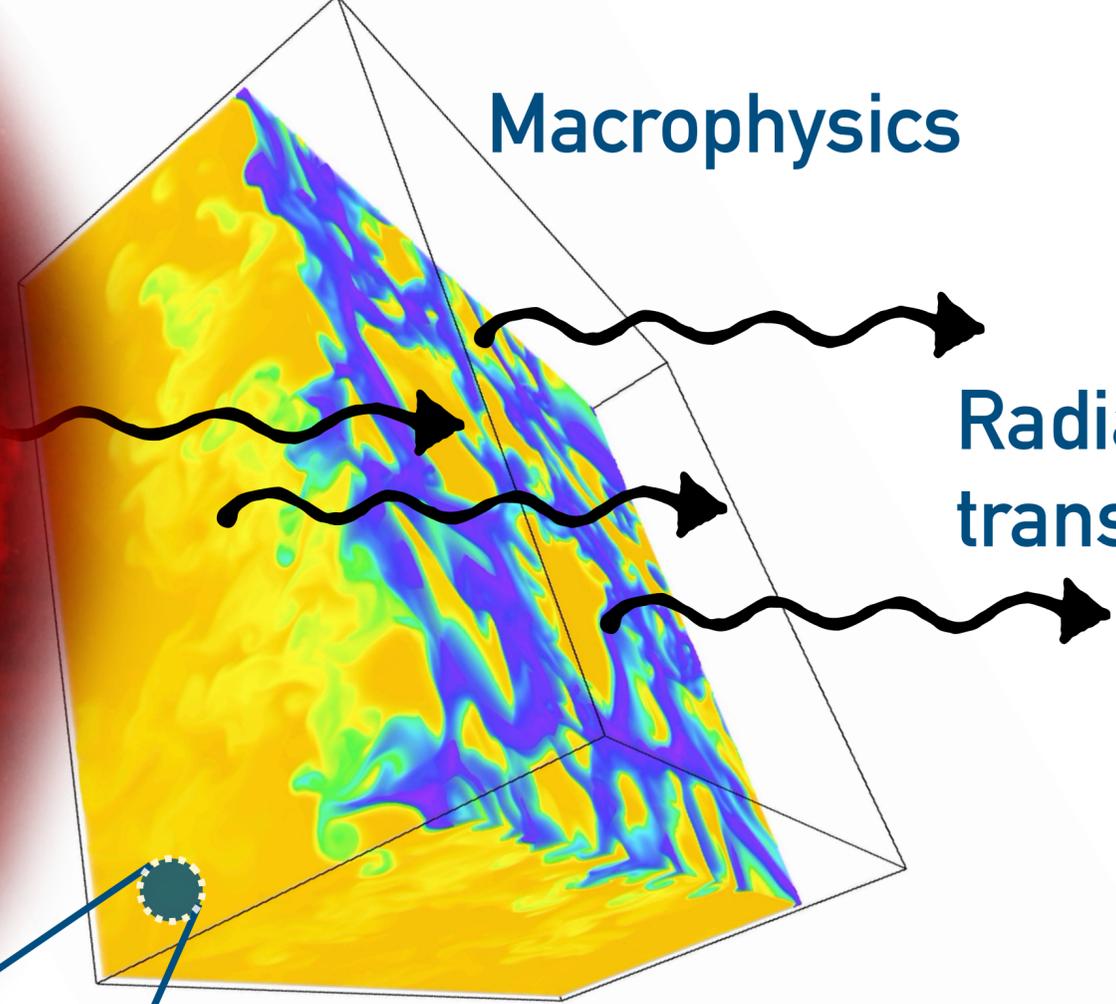


# Motivation

Are LTE models good enough?

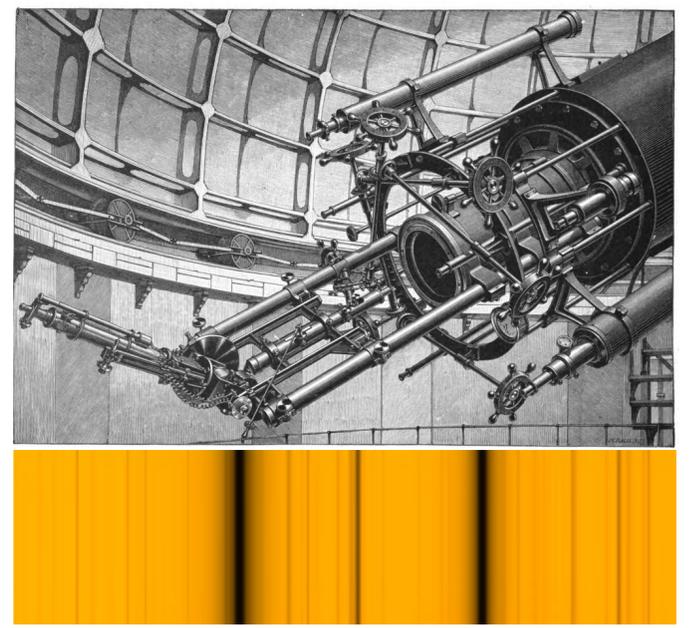


# Macrophysics

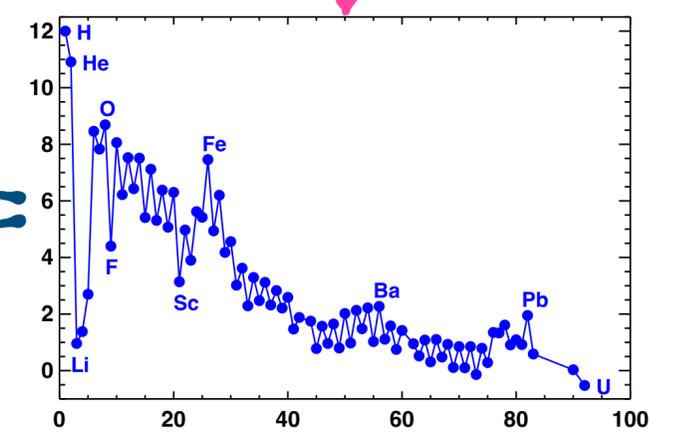


Radiative transfer

# Spectrum

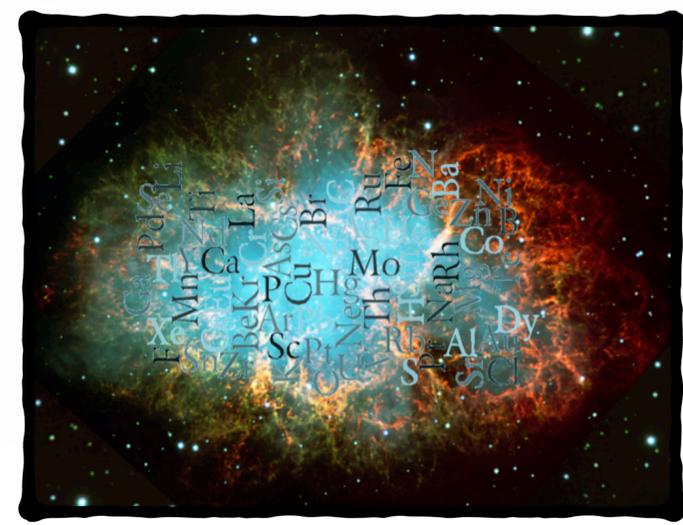


Atmosphere models



# Parameters

Teff, logg, abundances...



# Knowledge

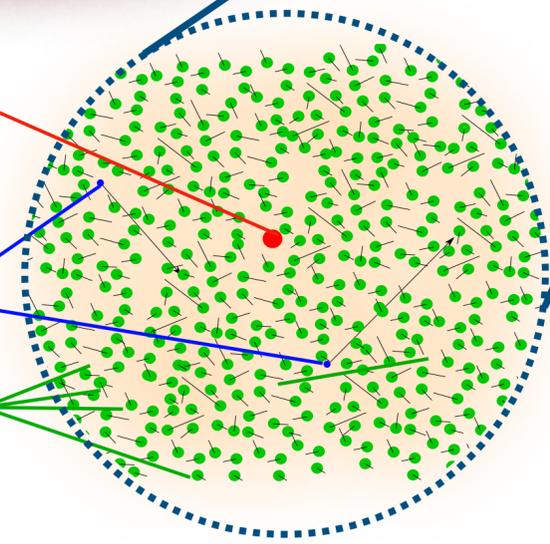
Atoms, planets, stars, galaxies, cosmos...

Microphysics (e.g. Non-LTE)

Atom producing spectral line

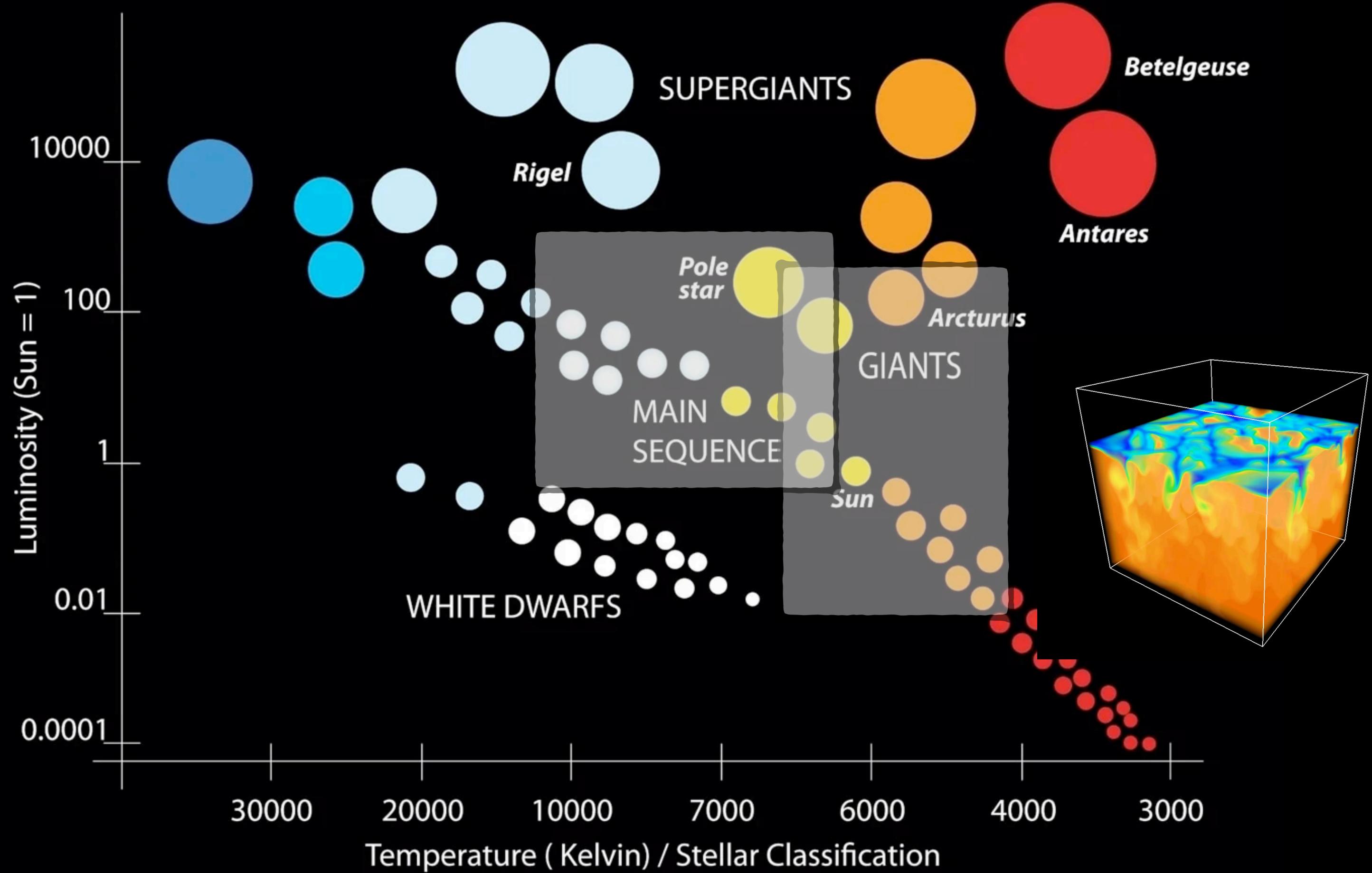
Perturbations from fast electrons

slow hydrogen



# Motivation

- Elemental abundances (etc.) are inferred via comparison to **model spectra**
- Are LTE models good enough? Can we uncover **interesting astrophysics** when using more realistic (non-LTE) model spectra?



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- Elemental abundances (etc.) are inferred via comparison to **model spectra**
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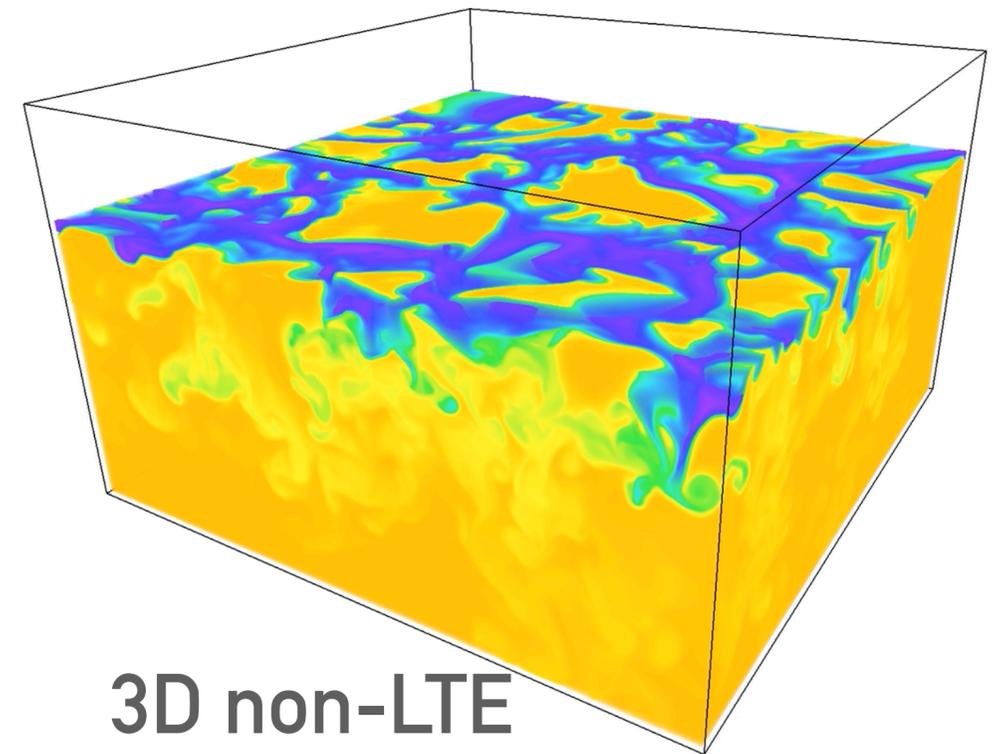
- Four examples

1. S I magnetic field diagnostic in  $\alpha$  Pegasi (Am star)

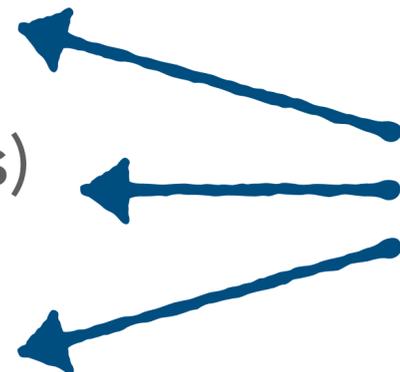
2. C/O planet signature (FG dwarfs)

3. [Mg/Fe] accretion signature (FG dwarfs)

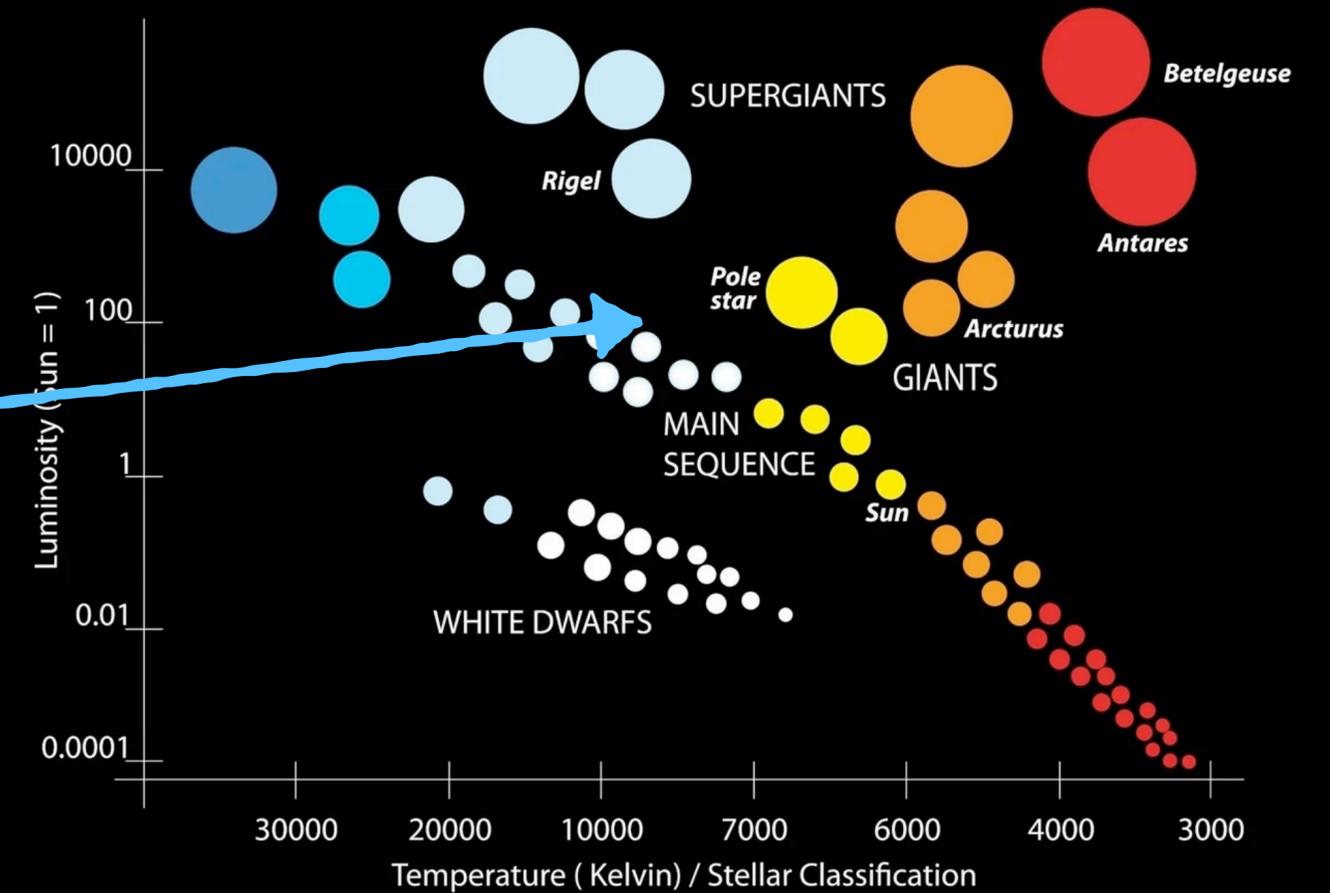
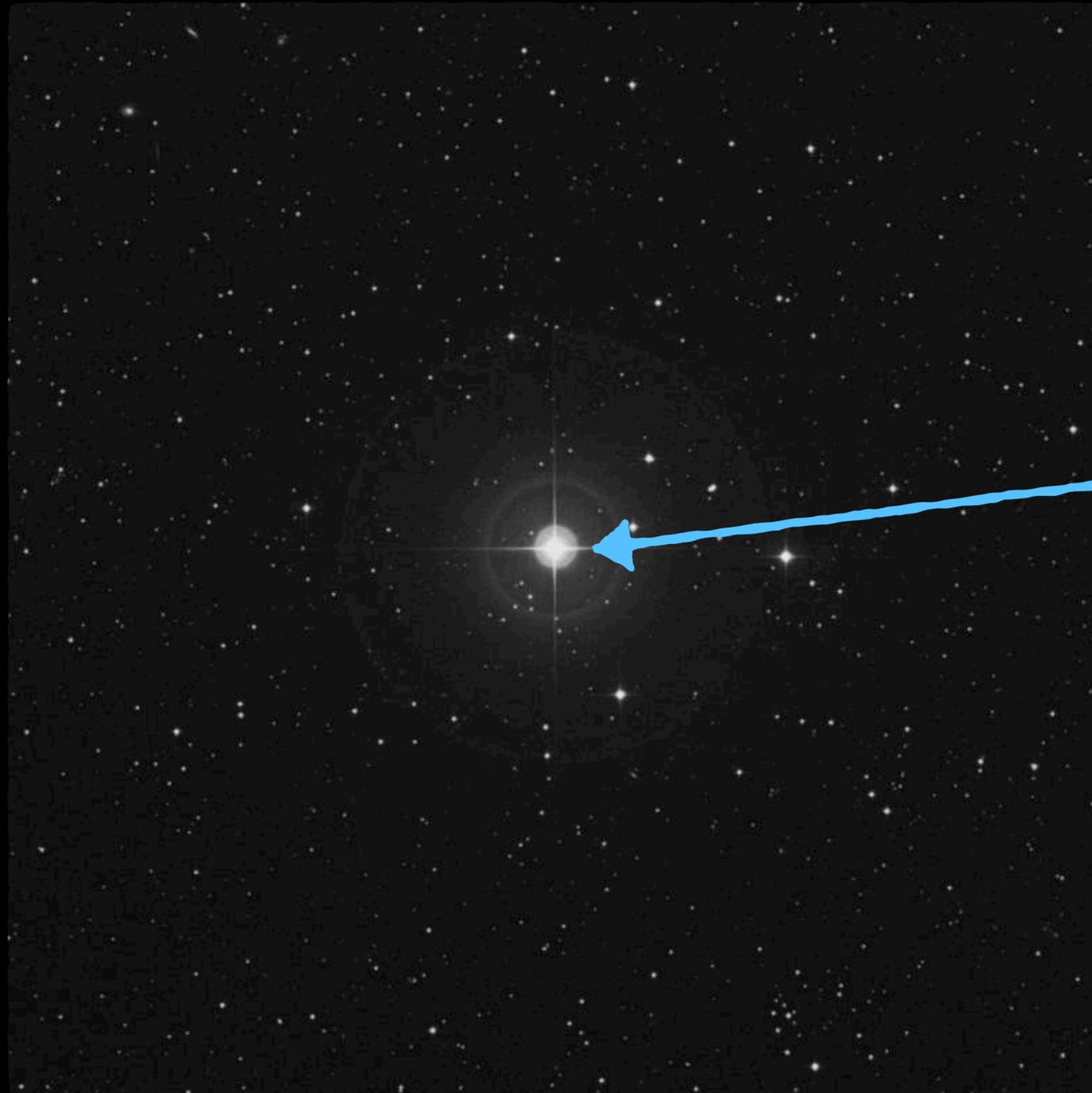
4. [C/O] Pop III signature (FG dwarfs)



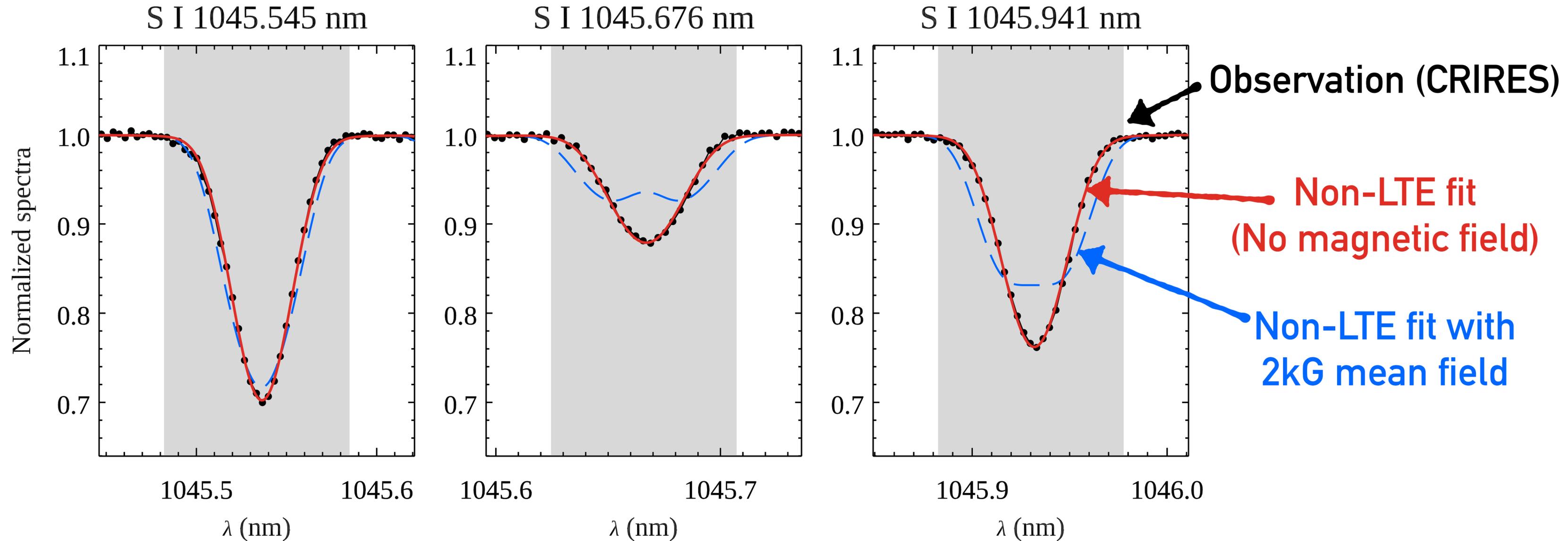
3D non-LTE  
(convective envelopes)



# 1. S I magnetic field diagnostic in o Peg.

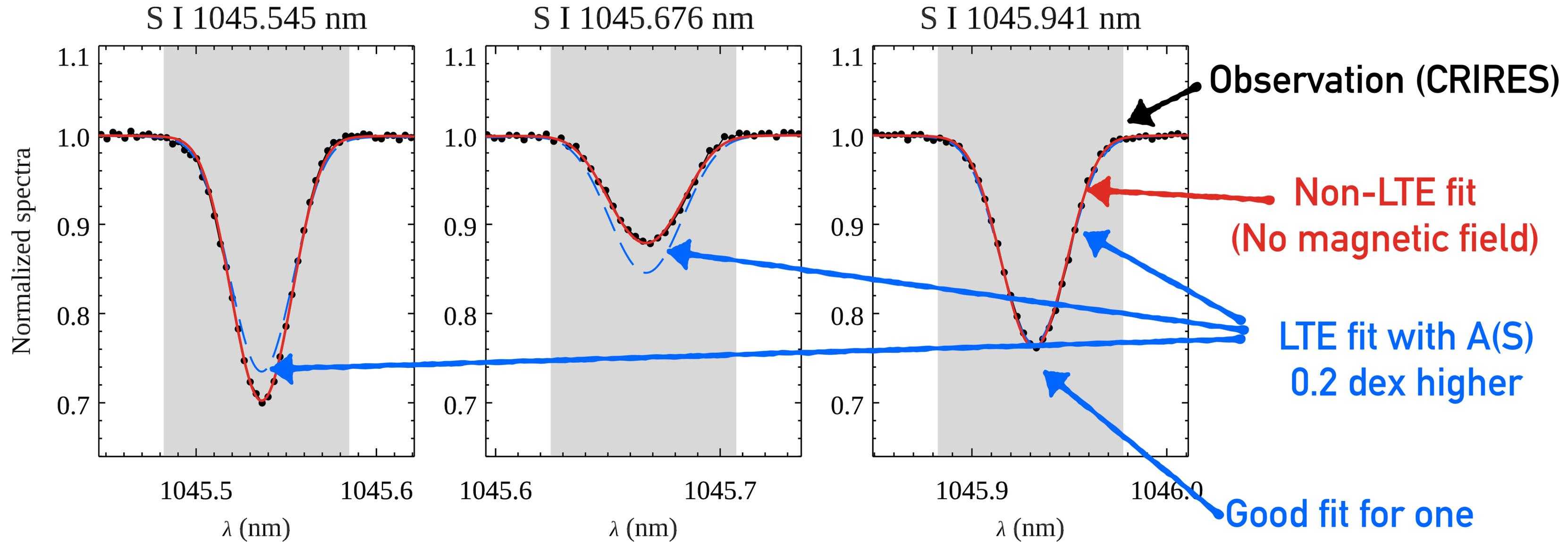


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Interesting differential diagnostic of **magnetic fields**  
(Fine-structure components with different sensitivities)

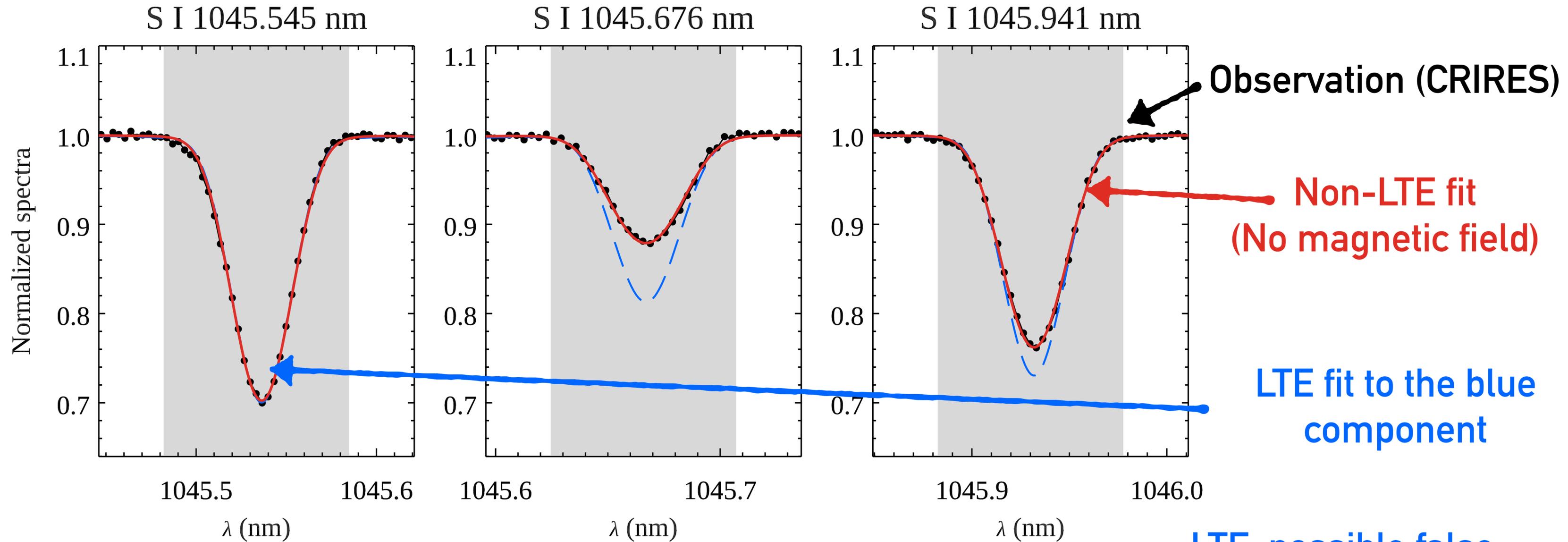
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Interesting differential diagnostic of **magnetic fields**  
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Good fit for one component (but wrong abundance)

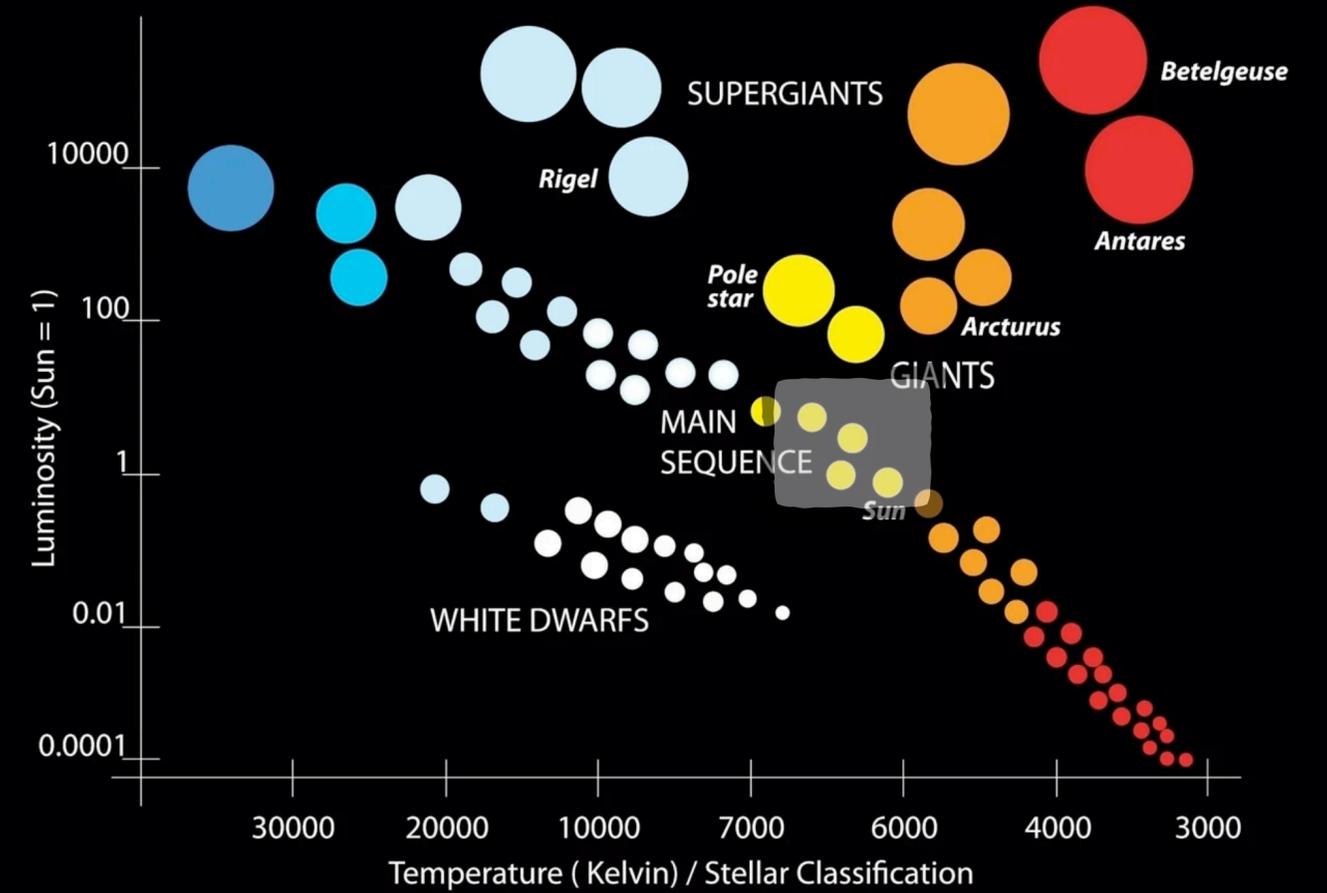
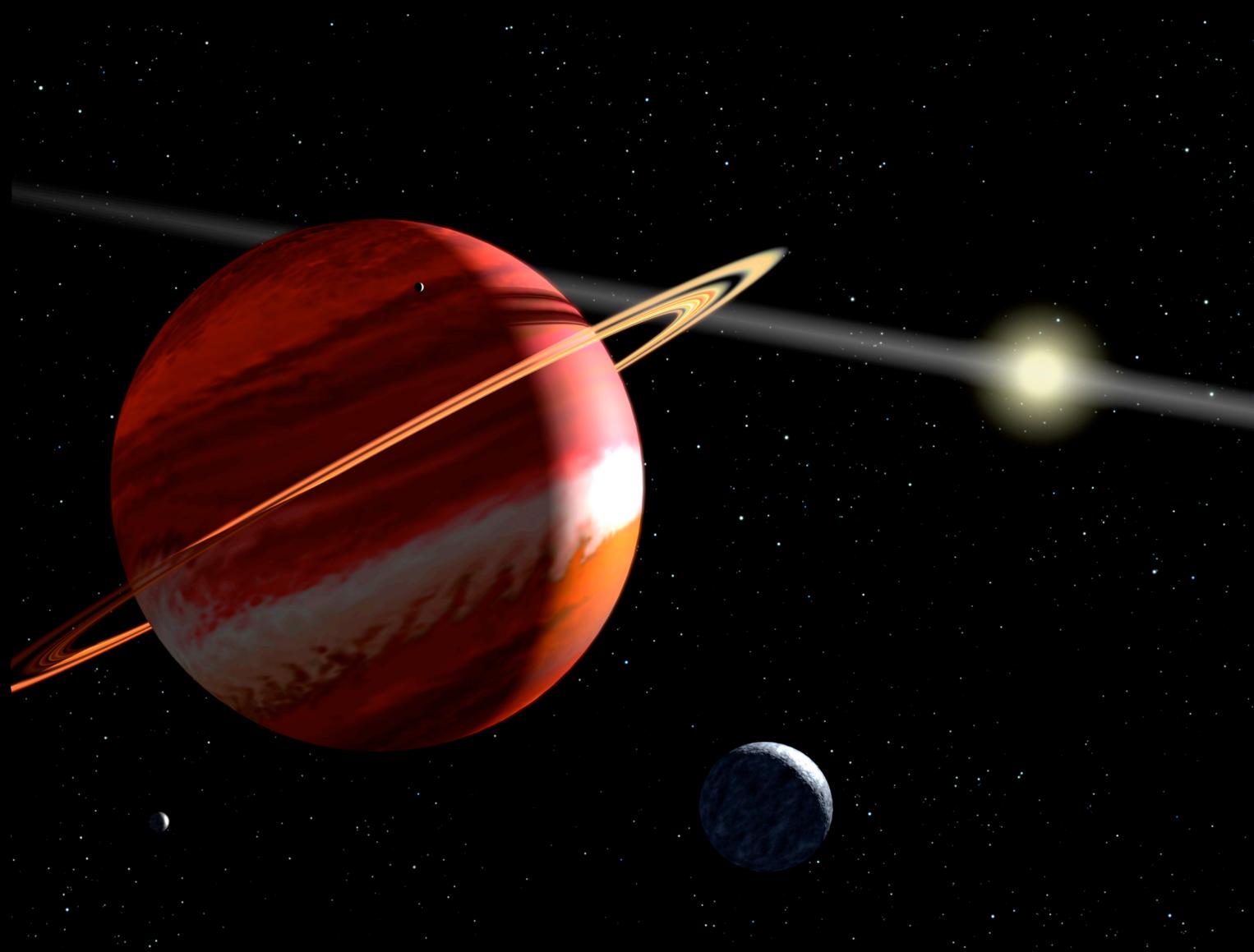
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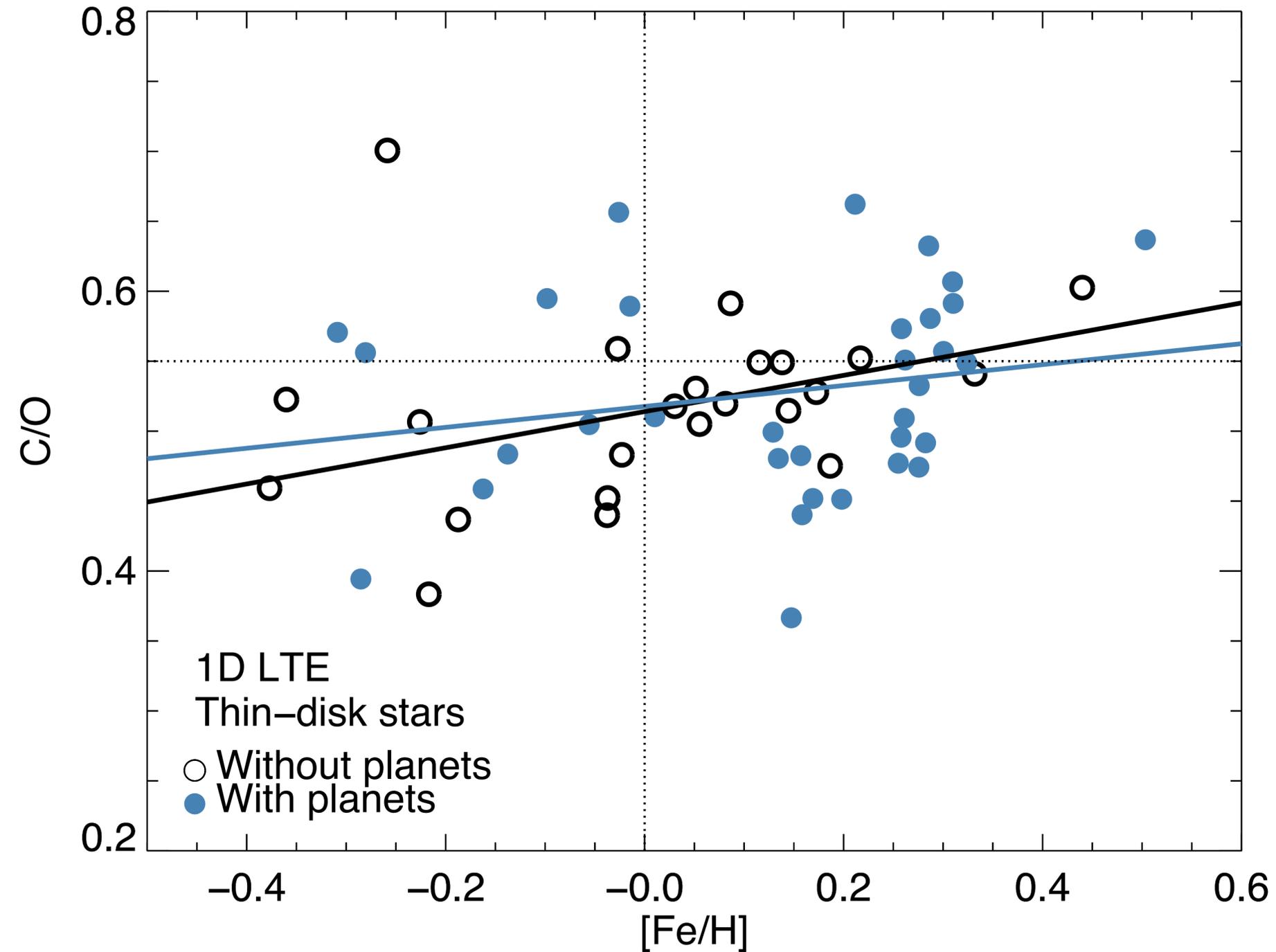
LTE: possible false  
detection of magnetic field

# 2. C/O planet signature



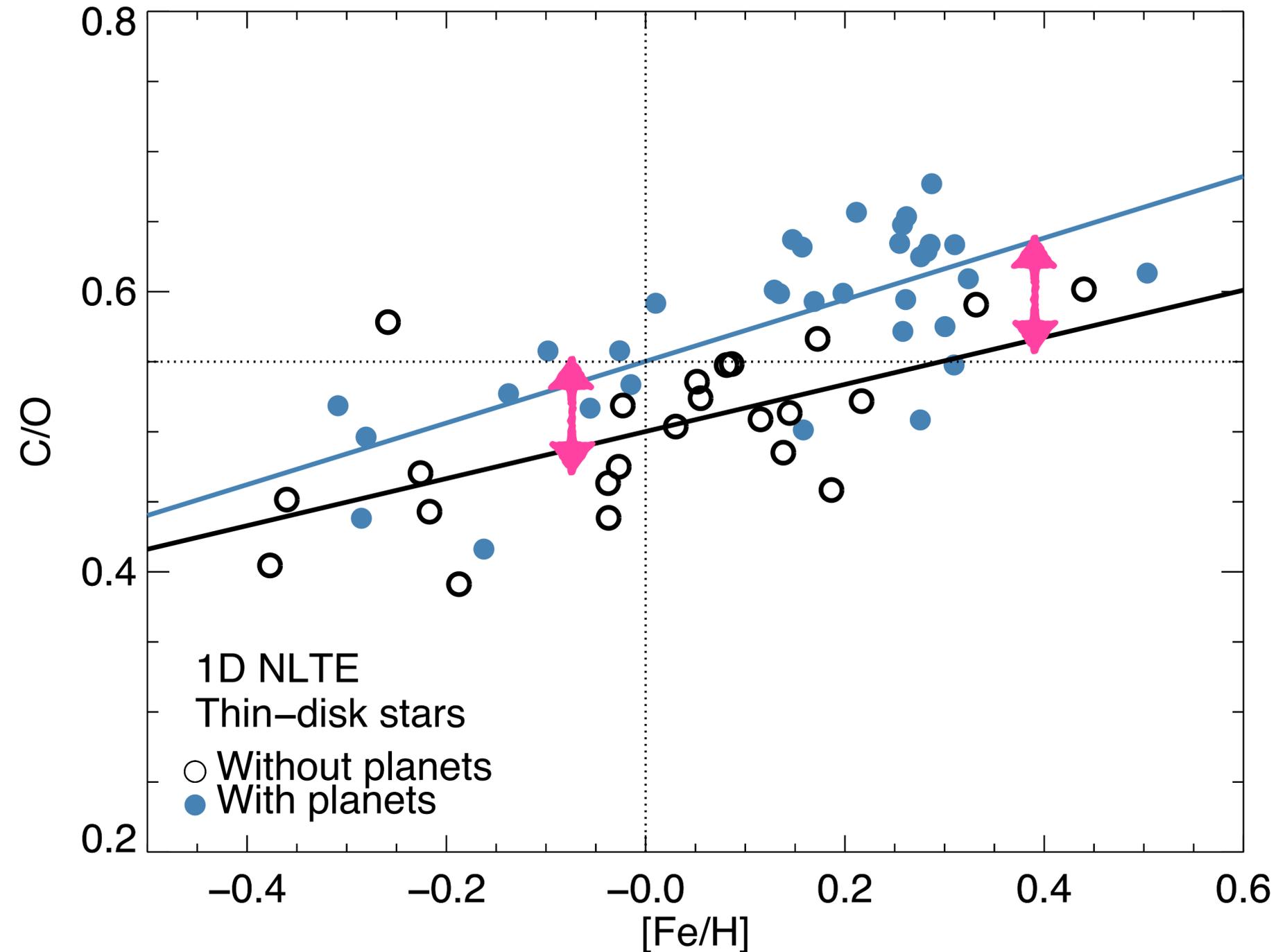
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- LTE analysis: two populations overlap



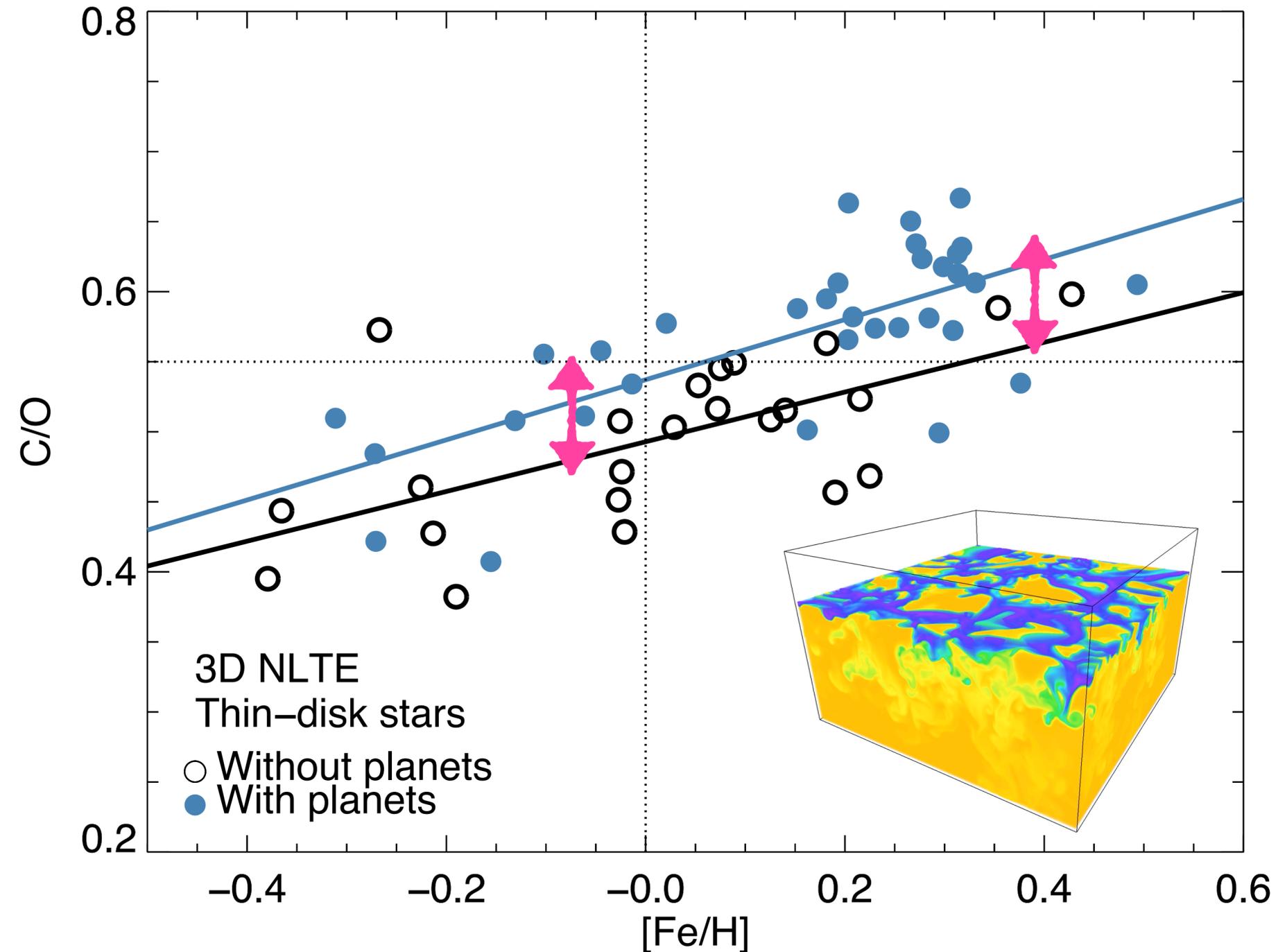
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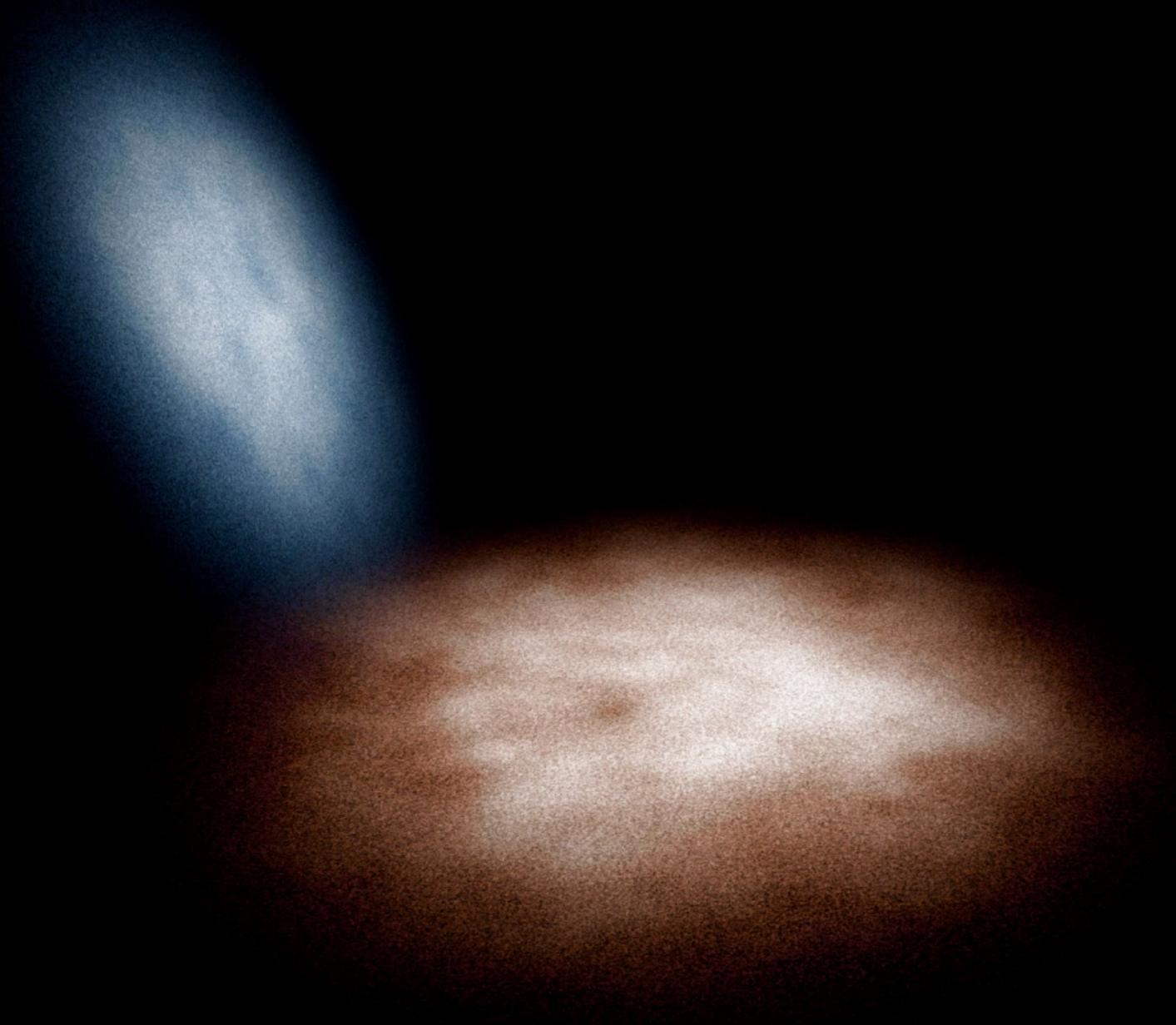


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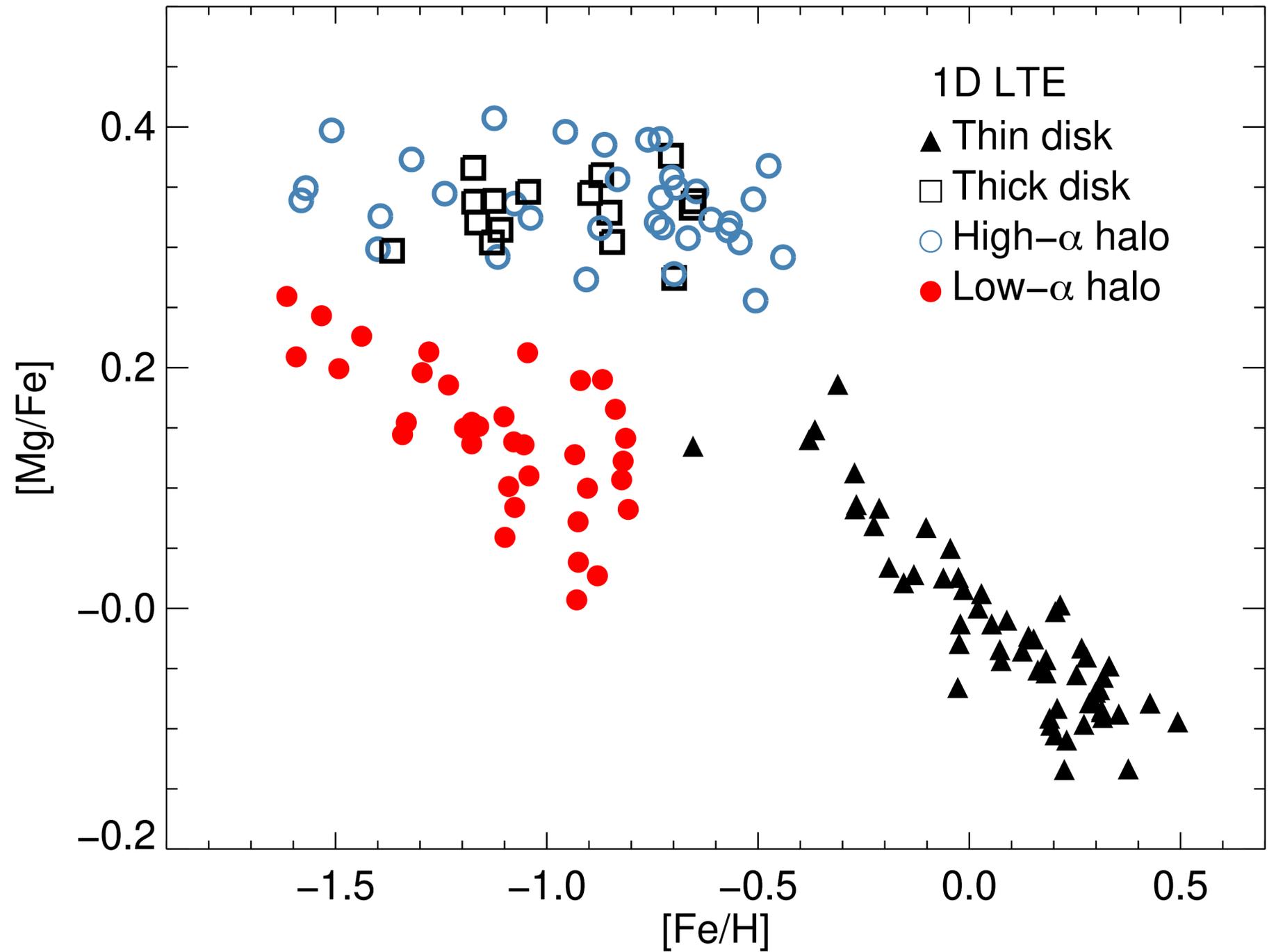


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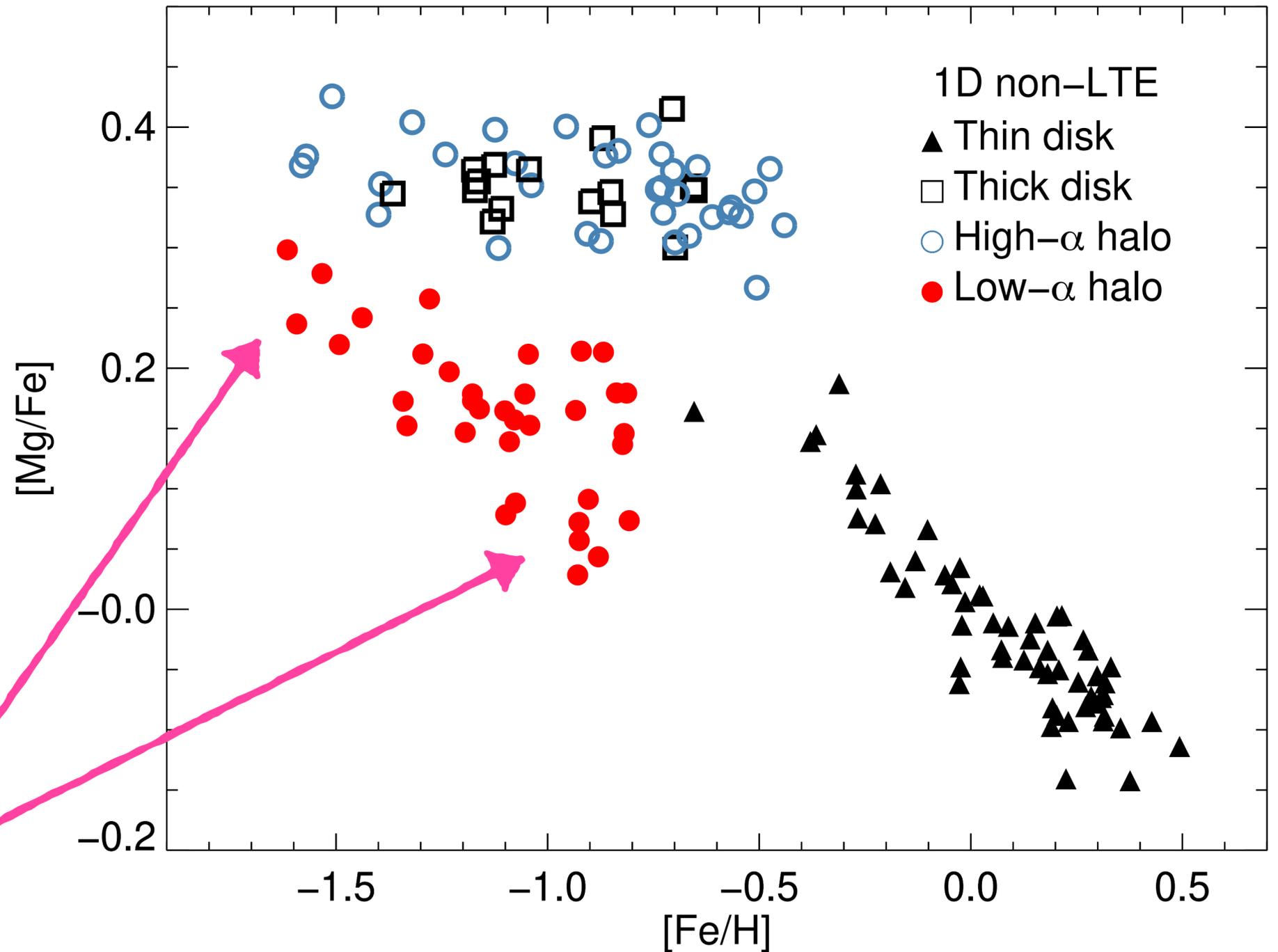
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- Mg abundances for stars in the Galaxy
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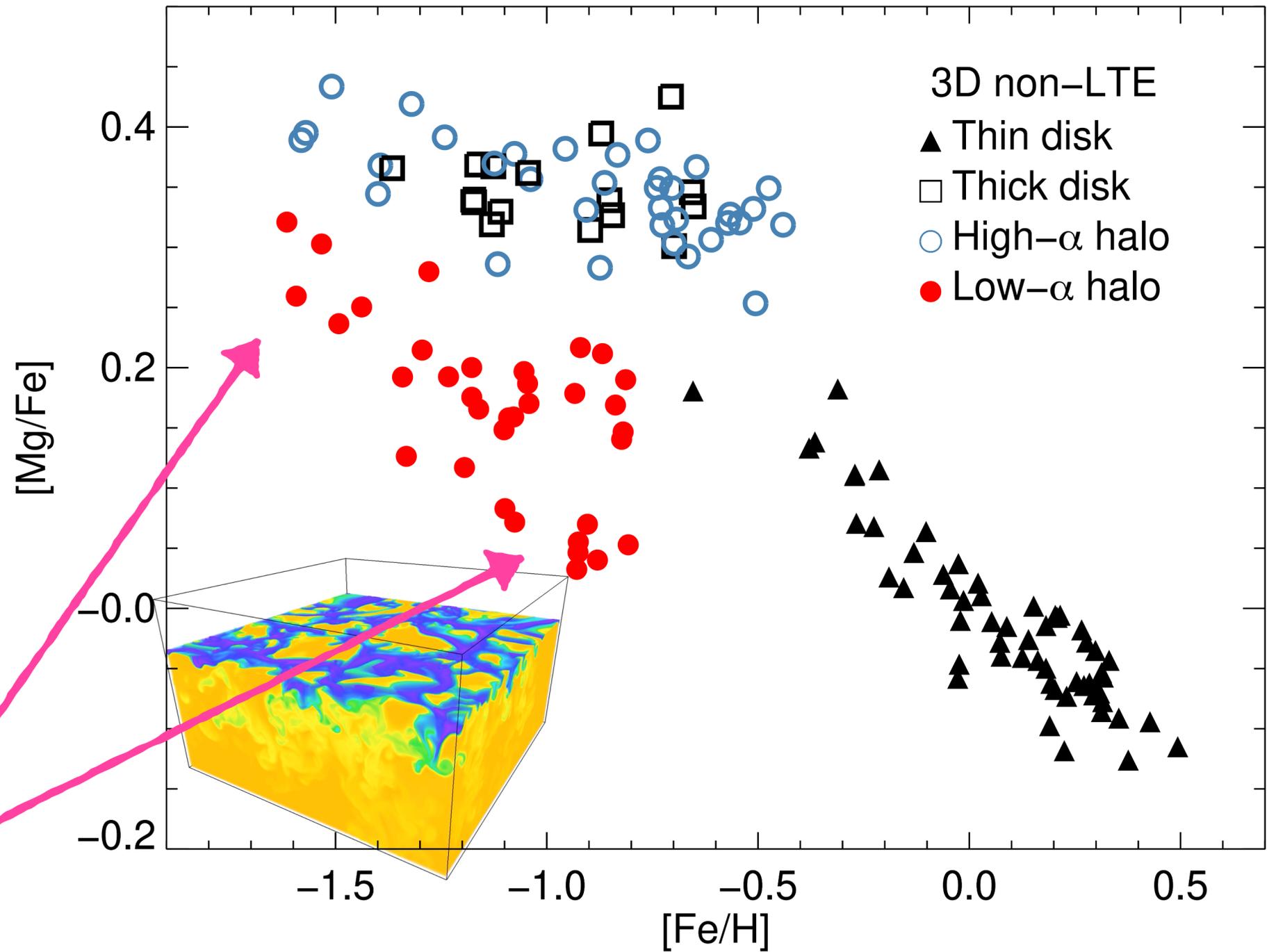
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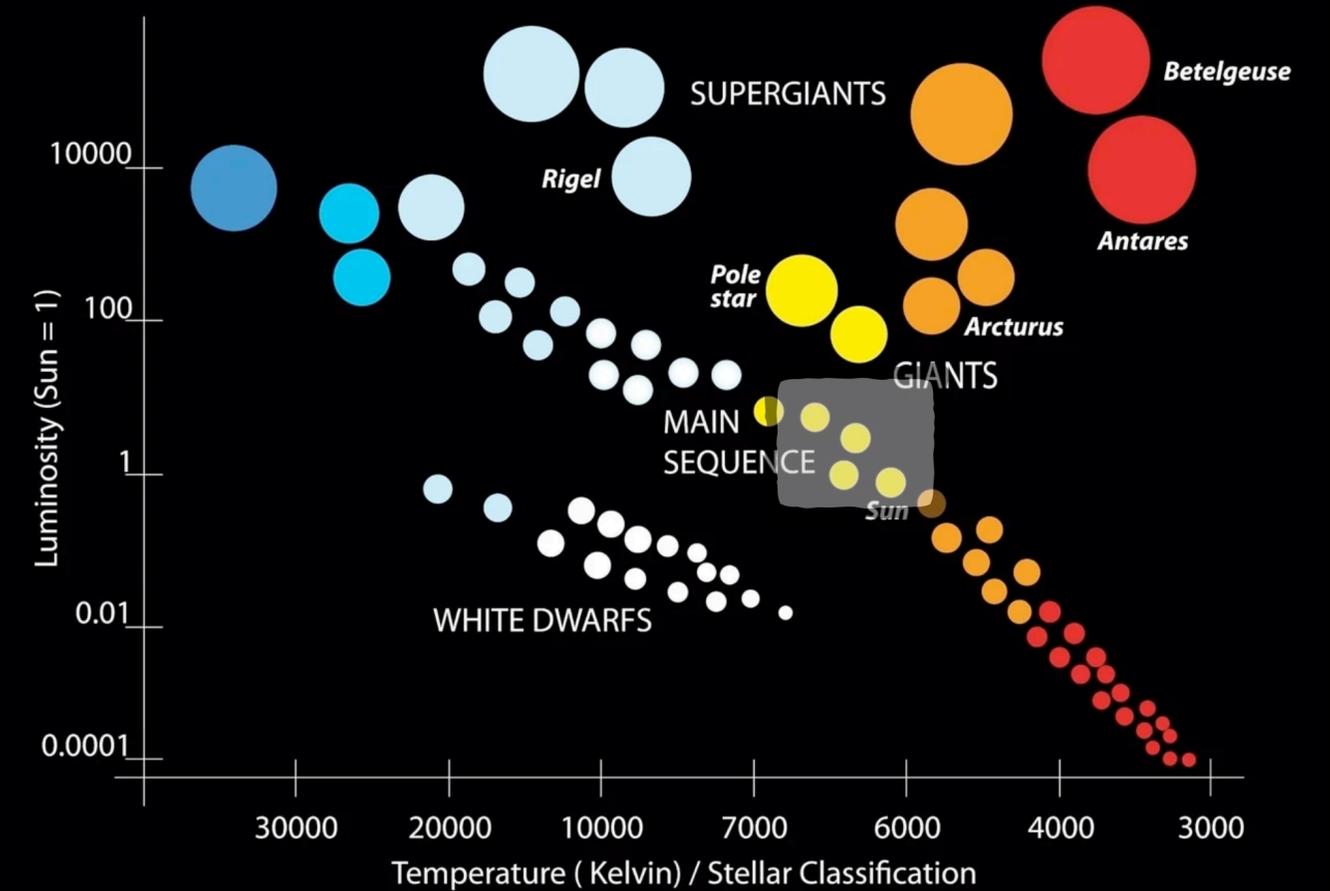
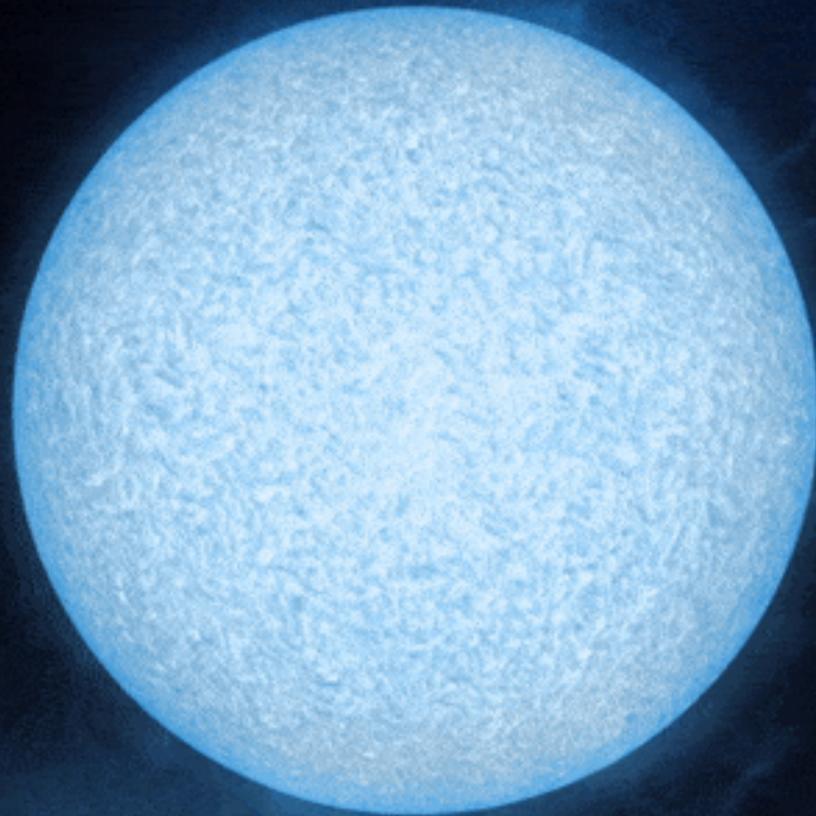


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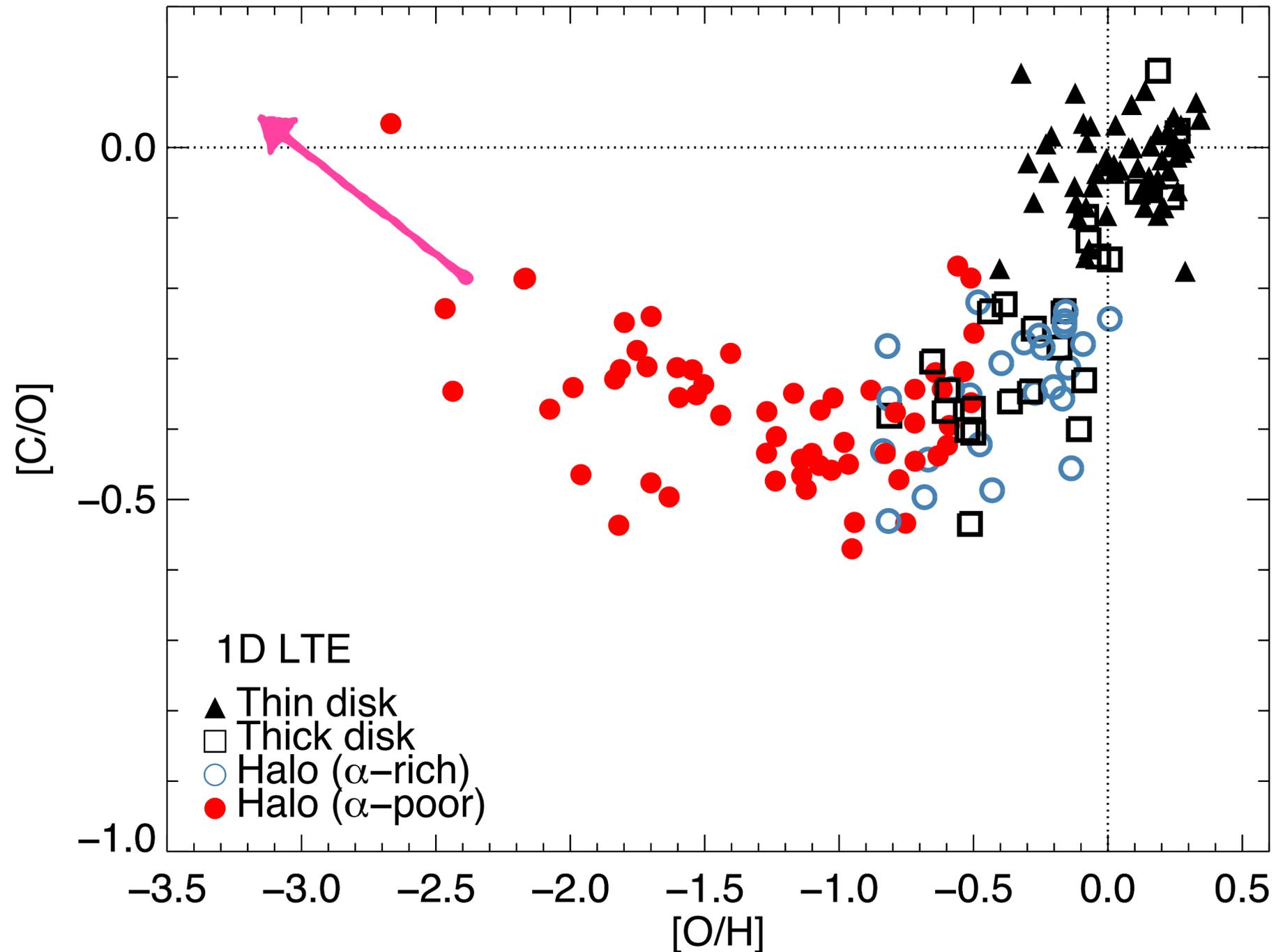


# 4. [C/O] Pop III signature



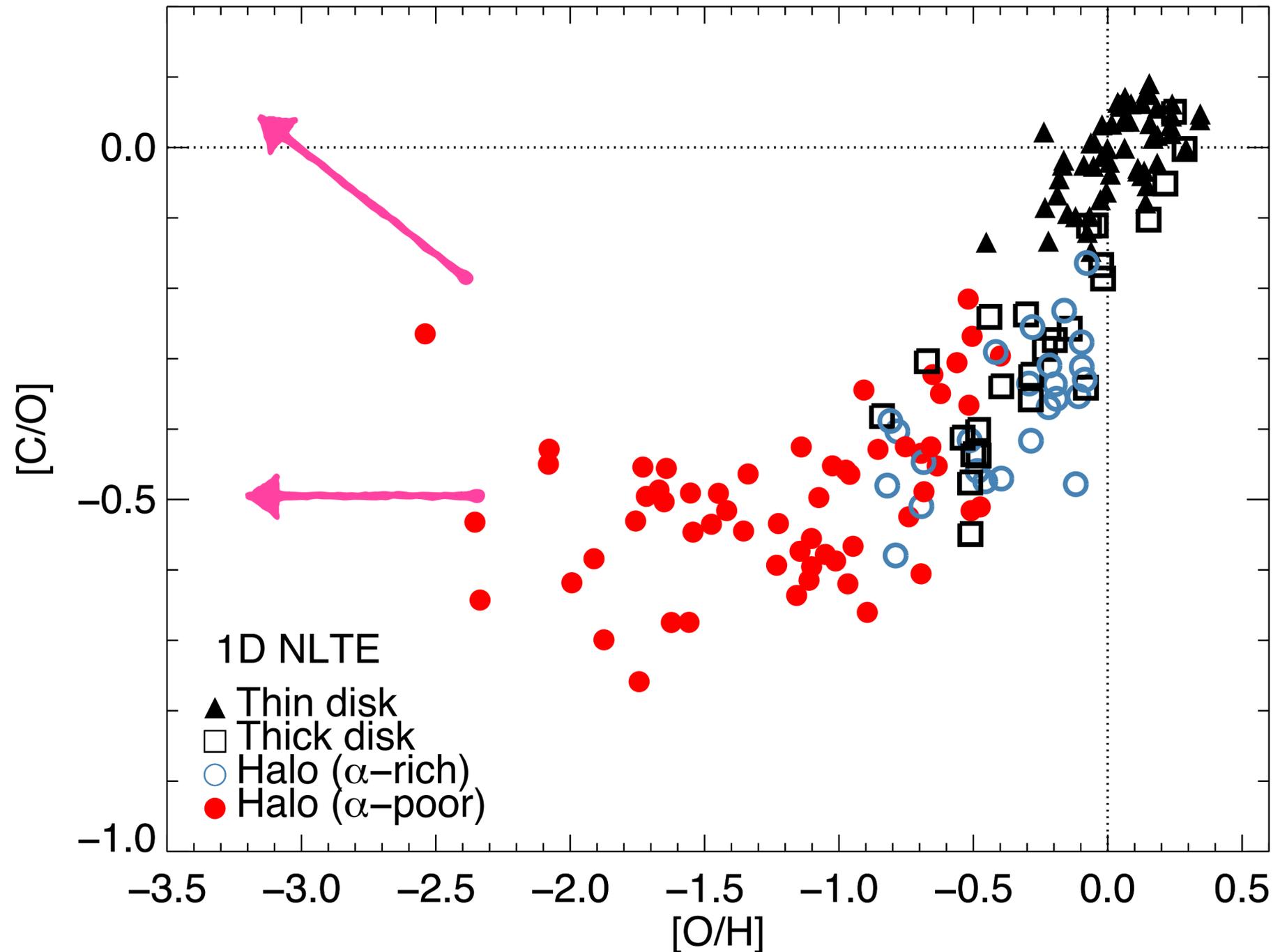
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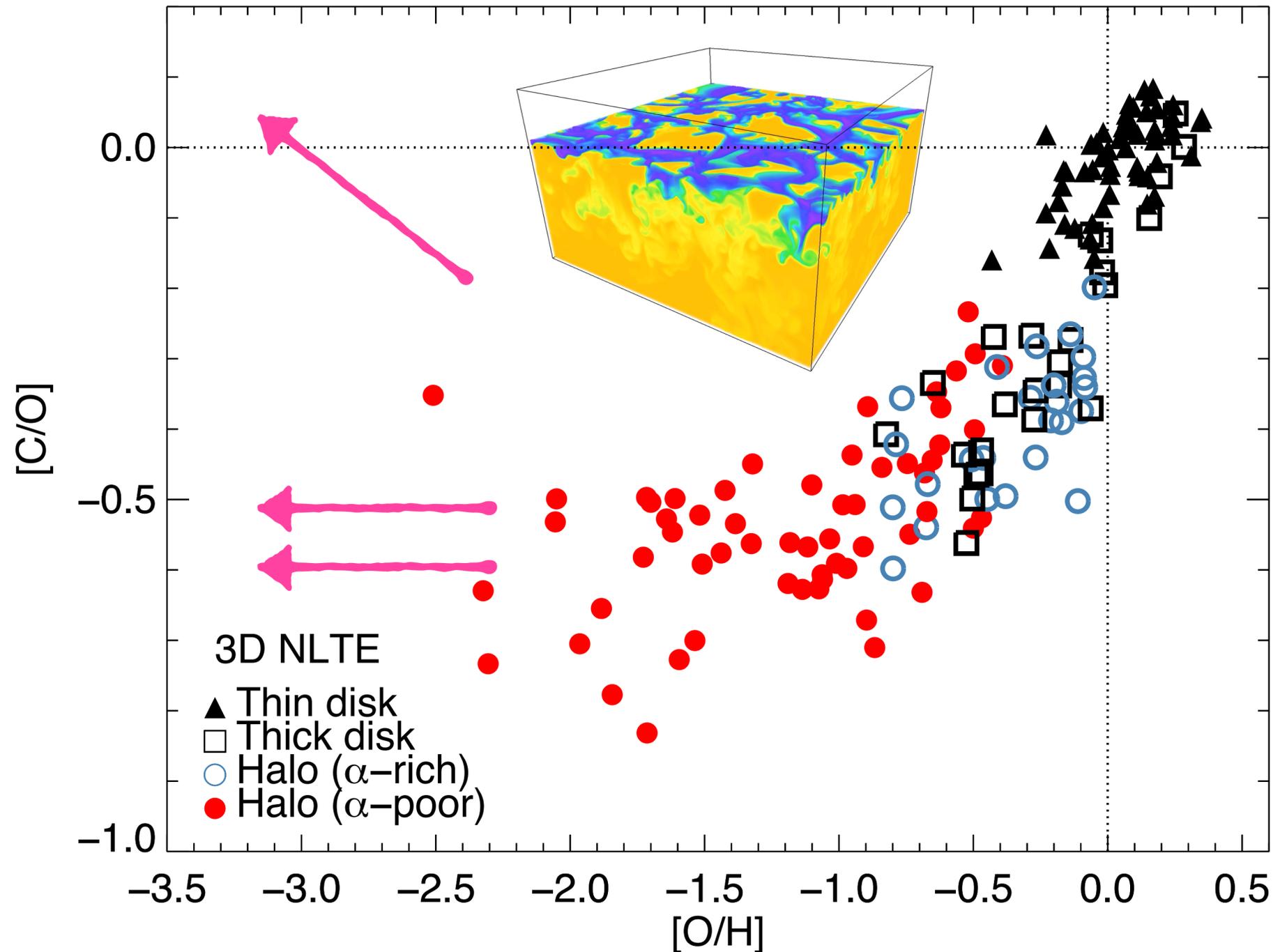
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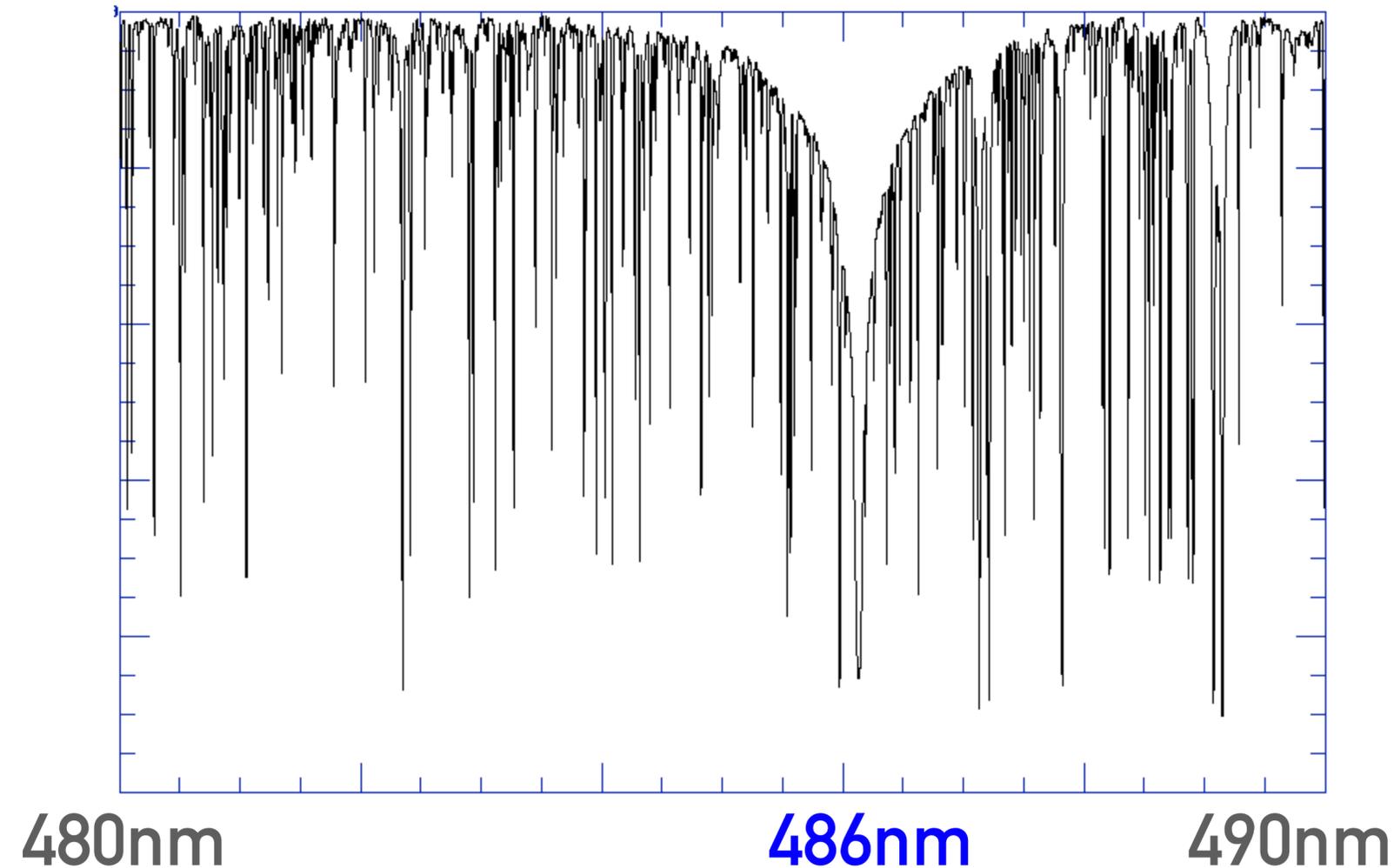
# Theory and methods

When is LTE valid? How do we calculate non-LTE spectra in practice?

[See [Rutten \(2003\)](#) lecture notes, [Hubeny & Mihalas \(2015\)](#) textbook, [Lind & Amarsi \(2024\)](#) review]

# Populations in LTE

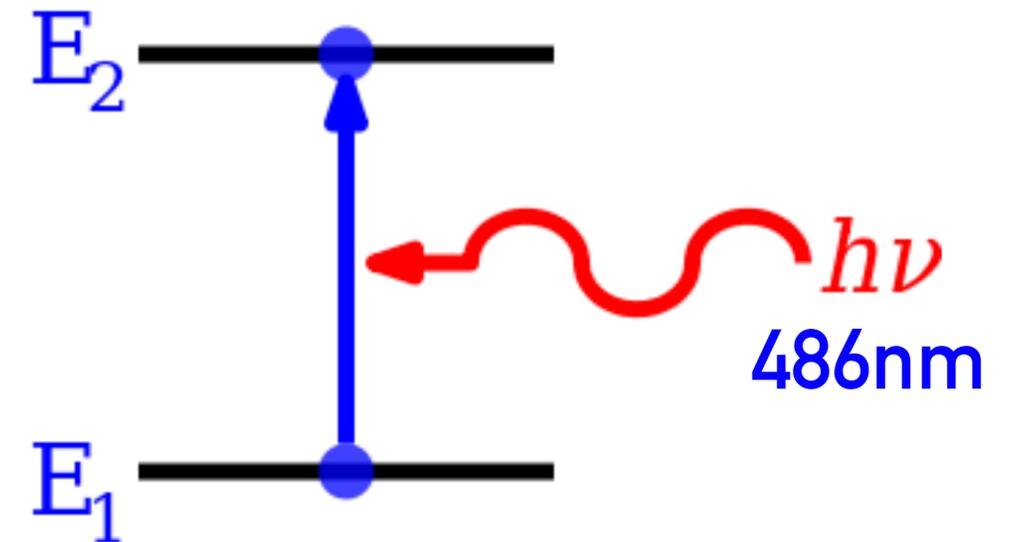
- Absorption line strengths depend on **number of absorbers and emitters** in the correct energy states



# Populations in LTE

- Absorption line strengths depend on **number of absorbers and emitters** in the correct energy states
- Local thermodynamic equilibrium (LTE): trivially known via **Saha and Boltzmann distributions**

$$- \frac{n_2}{n_1} = \frac{g_2}{g_1} \exp\left(-\frac{E_2 - E_1}{k_B T}\right)$$
$$- n_e \frac{N_{\text{II}}}{N_{\text{I}}} = \frac{2}{\Lambda^3} \frac{Z_2}{Z_1} \exp\left(-\frac{E_{\text{II}} - E_{\text{I}}}{k_B T}\right)$$



# Populations in non-LTE

- More general solution: solve the rate equations to find **statistical equilibrium**

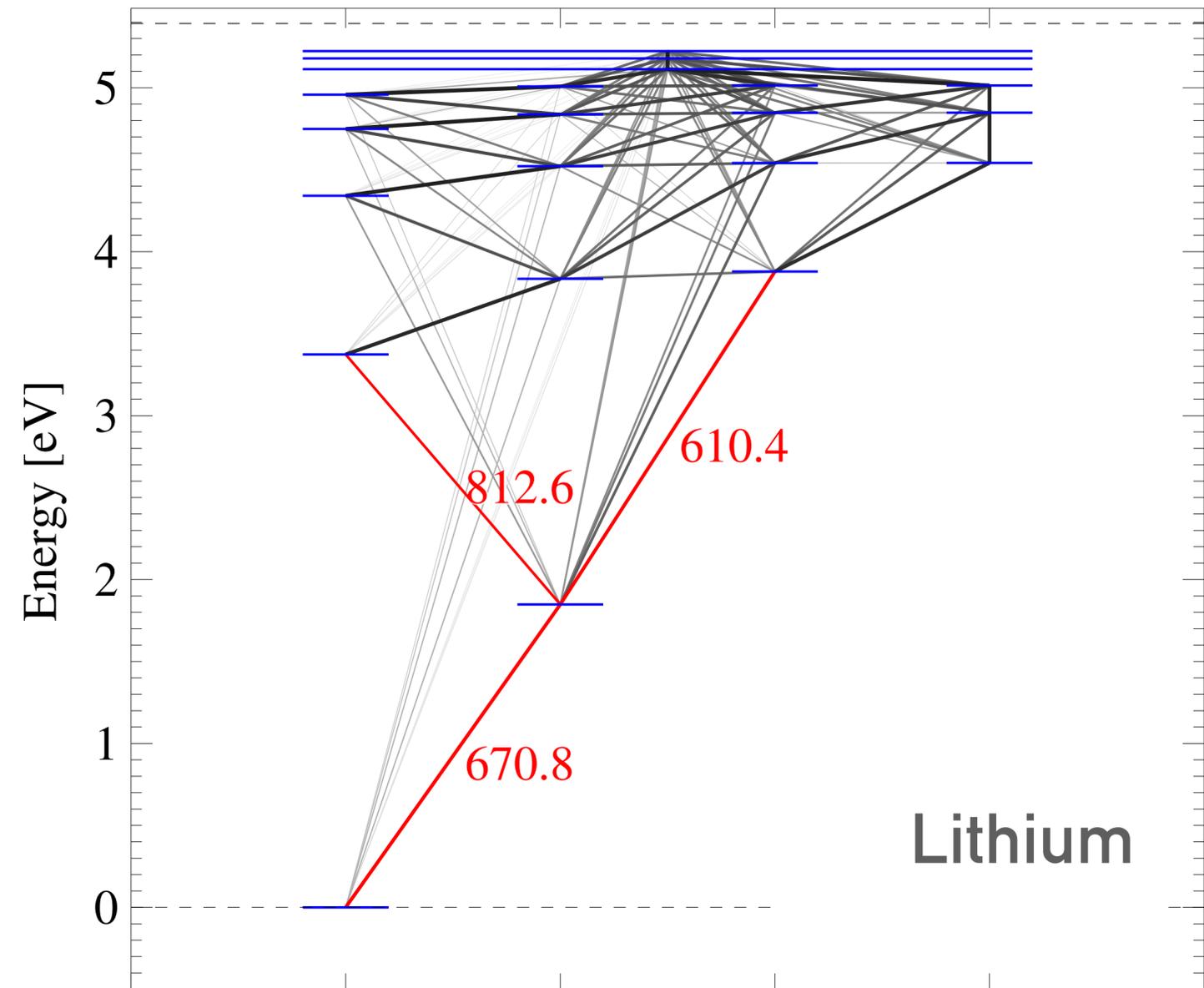
- $n_i \sum_j [R_{ij} + C_{ij}] = \sum_j n_j [R_{ji} + C_{ji}]$

- **C** are collisional rates, depend on **local Maxwellian-averaged** cross-sections

- **R** takes into account **non-local photons**

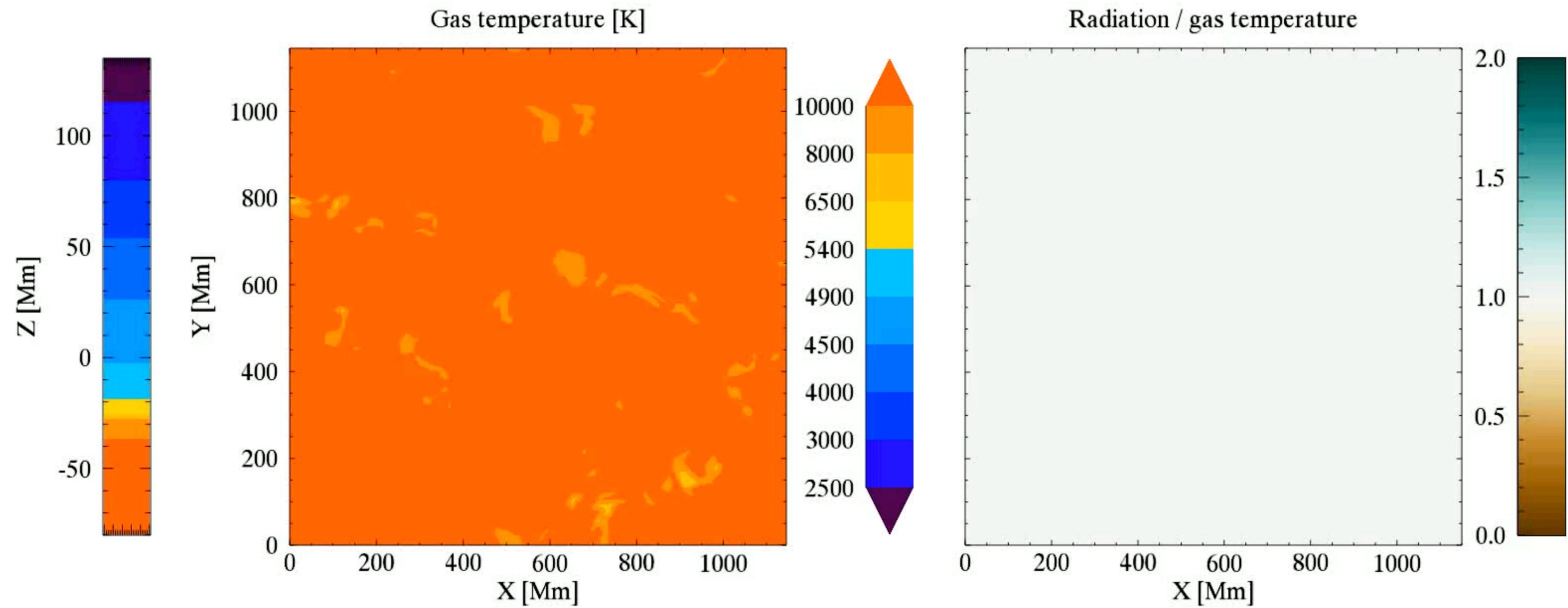
- e.g. for bound-bound absorption,

$$R_{lu} = \int_0^{\infty} B_{lu} J_{\nu} \varphi(\nu - \nu_0) d\nu, \text{ mean radiation field } J_{\nu} \text{ determined via } \mathbf{radiative transfer}$$

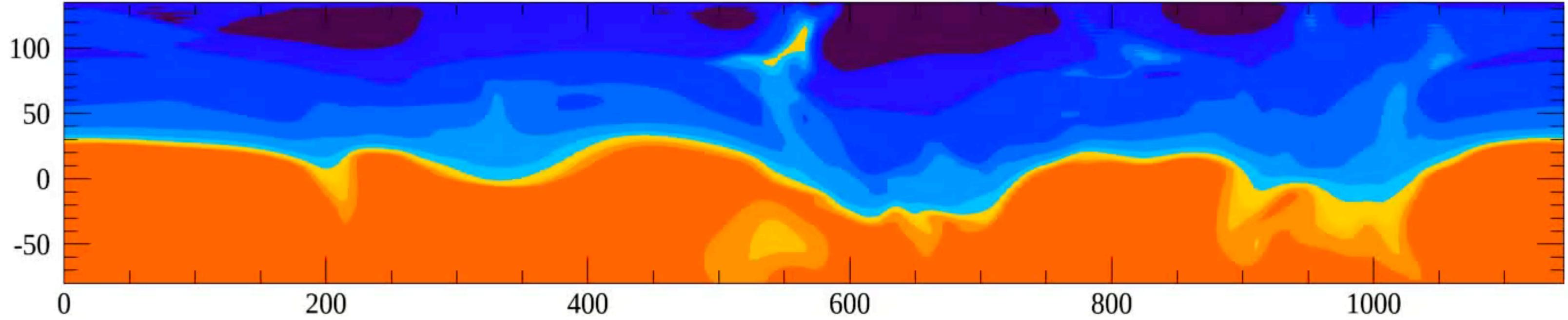


# Interpretation

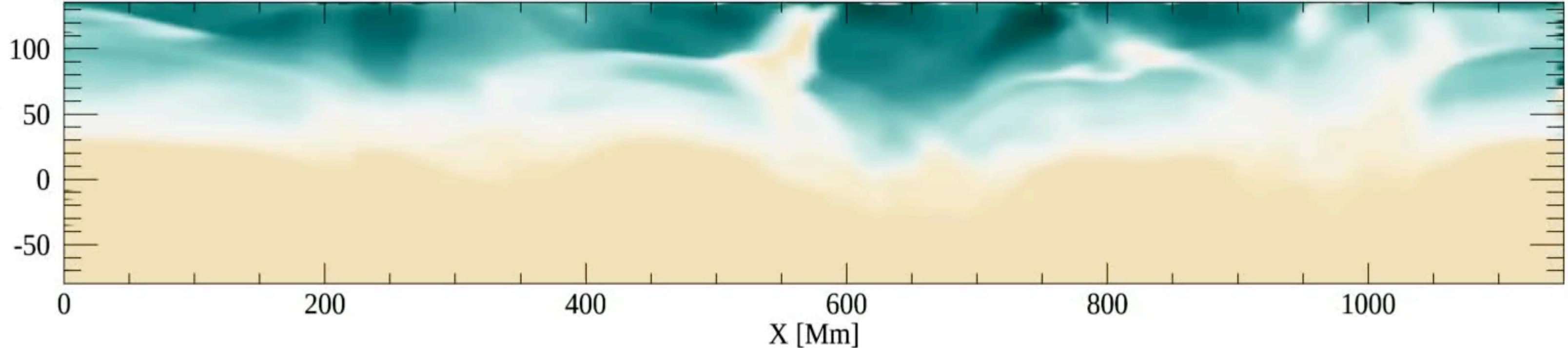
- Radiation in atmosphere is non-Planckian
  - At a particular layer in the atmosphere, locally-generated photons are scattering out and **escaping** (TE assumes all emitted photons are immediately reabsorbed) — **photon losses**
  - Photons **escaping** from other (mostly deeper) layers scatter into the layer you are trying to model — photon pumping, overexcitation/**overionisation**



Gas temperature [K]



(220 nm radiation) / gas temperature



# Interpretation

For which stars do we typically expect stronger non-LTE effects?

High or low  $\log g$ ?

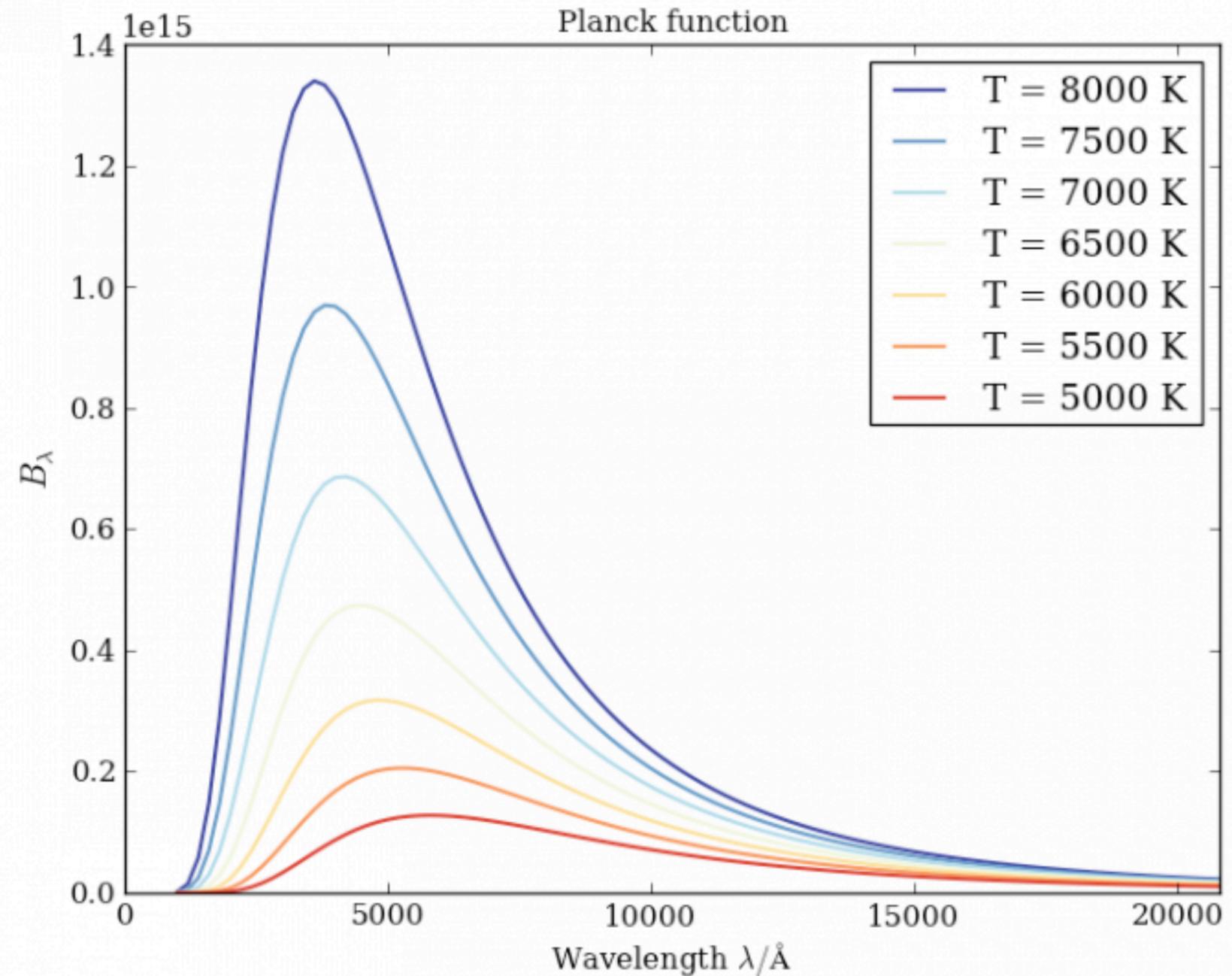
High or low  $T_{\text{eff}}$ ?

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  - Photons **escaping** from other (mostly deeper) layers scatter into the layer you are trying to model — photon pumping, overexcitation/**overionisation**
- Particles have LTE (Maxwellian) velocities (Hubeny and Mihalas chapter 4)
- Competition between **collisions** (LTE) and (escaping) **radiation** (non-LTE)

# Interpretation

- **Lower  $\log g$** 
  - Lower gas pressure
  - Fewer collisions to bring system to LTE
- **Higher  $T_{\text{eff}}$** 
  - Larger (escaping) UV flux (dB/dT is large in the UV), more photon pumping
  - Usually have high density of atomic/ionic lines and important photoionisation thresholds in the UV

Beware there are exceptions, and cancellation effects, depending on the species and spectral line



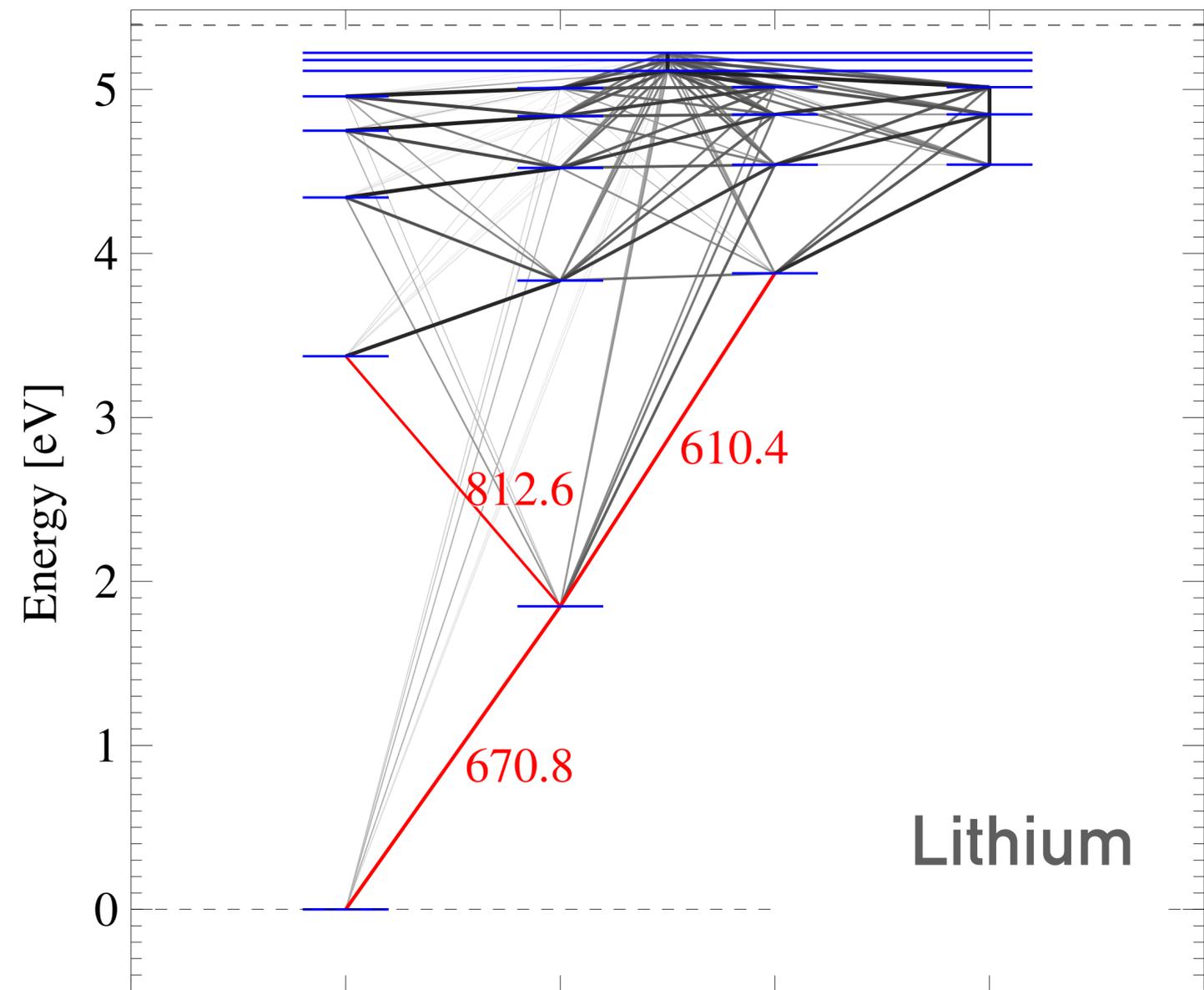
# Non-LTE codes

- Commonly used codes include MULTI, DETAIL, TLUSTY, CLOUDY,...
- (Examples in this presentation use **BALDER**, an offshoot of Multi3D, with links to MULTI)
- One **uncertainty** is the equation of state and **background opacities** of the non-LTE code
  - Background opacities block the radiation from the species of interest, generally (but not always) reducing departures from LTE
  - Good to check when was the last time this was updated

# The model atom

- **Energies**, statistical weights, partition functions
- Radiation: transition rates (**Einstein A's**), **photoionisation** cross-sections
- Collisions: (low-energy) collision cross-sections (**free electrons**; hydrogen in cool stars)

- Non-LTE solution is only as good as the input atomic (and ionic) data
- This compilation of data is called the "model atom"
- Significant **effort to construct** and test model atoms
- Relevant **atomic/molecular data** are often missing/inaccurate

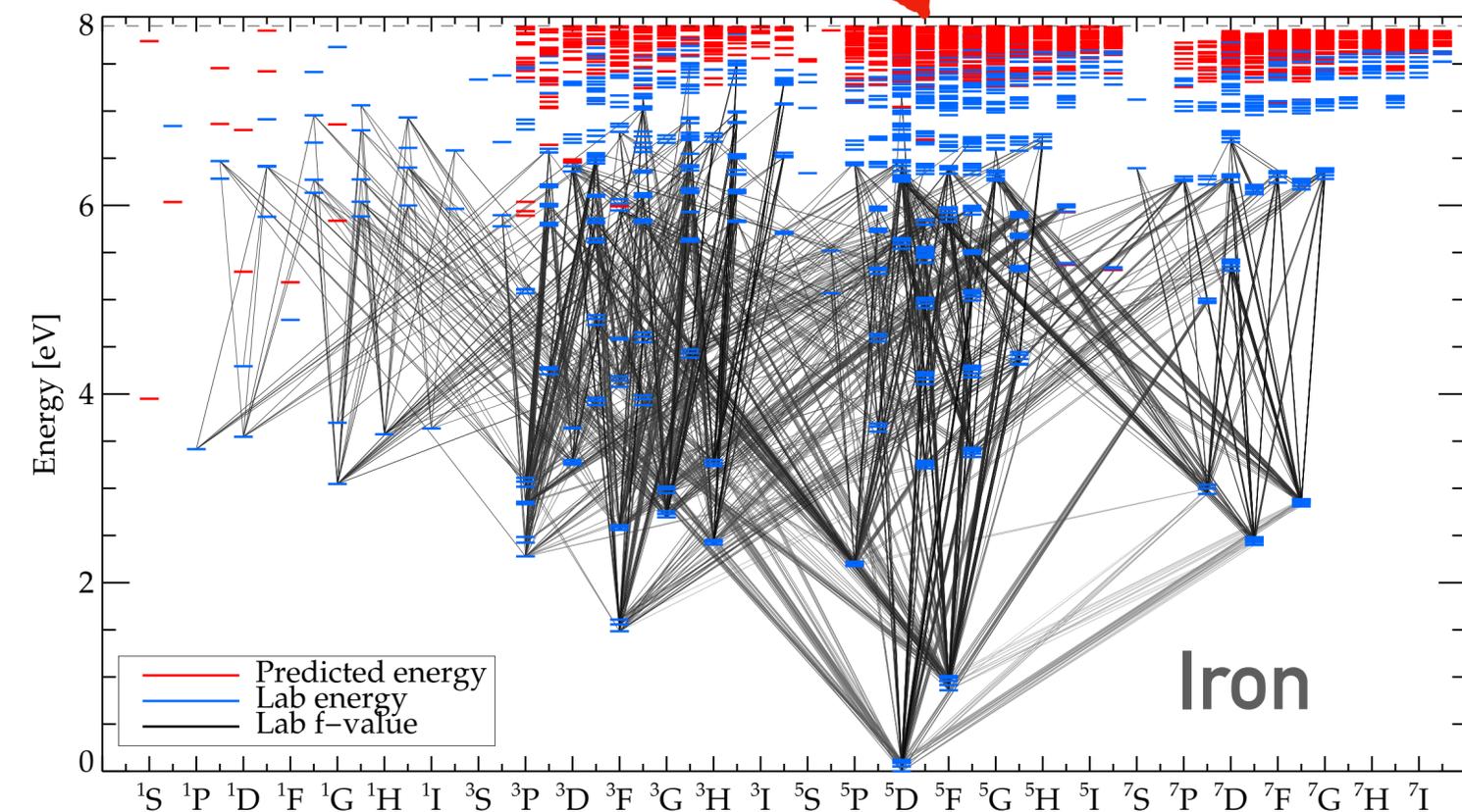


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Some **uncertainties** for hot stars:

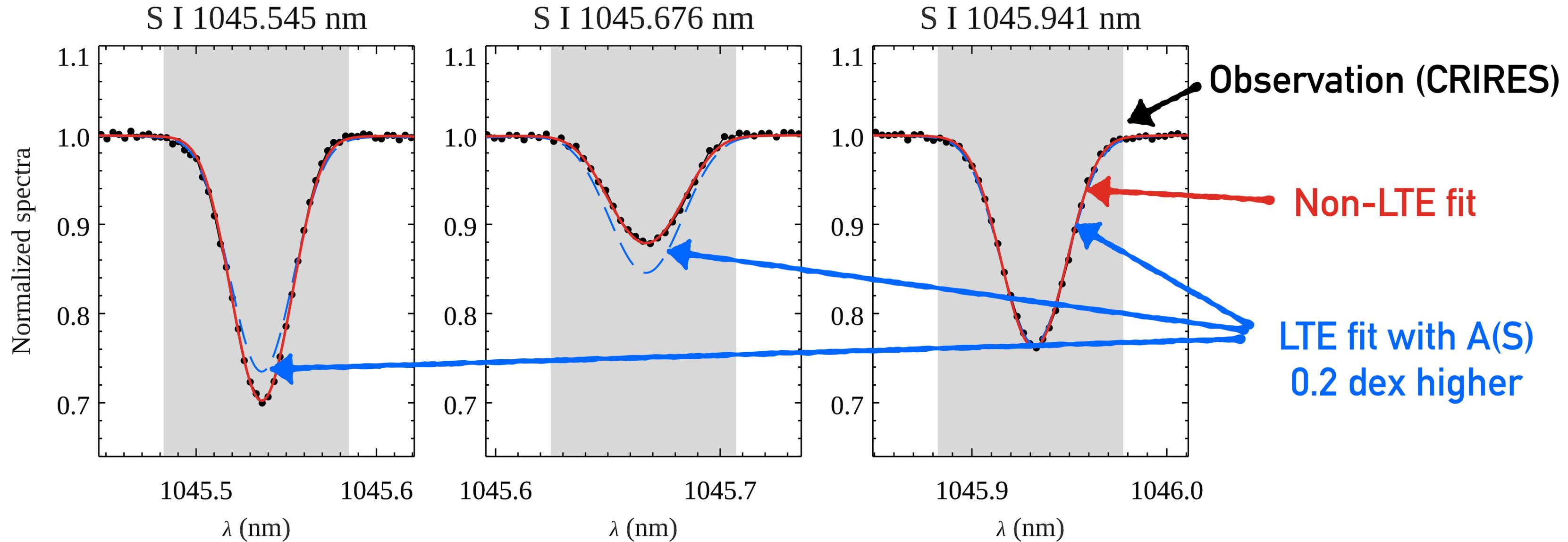
- Photoionisation cross-sections (e.g. hydrogenic vs R-matrix)
- Electron collisions (e.g. van Regemorter or Seaton recipes vs R-matrix)
- **Completeness of excited states**



# Typical non-LTE effects

Are non-LTE spectral lines stronger or weaker than LTE spectral lines?

# S I infrared triplet in detail

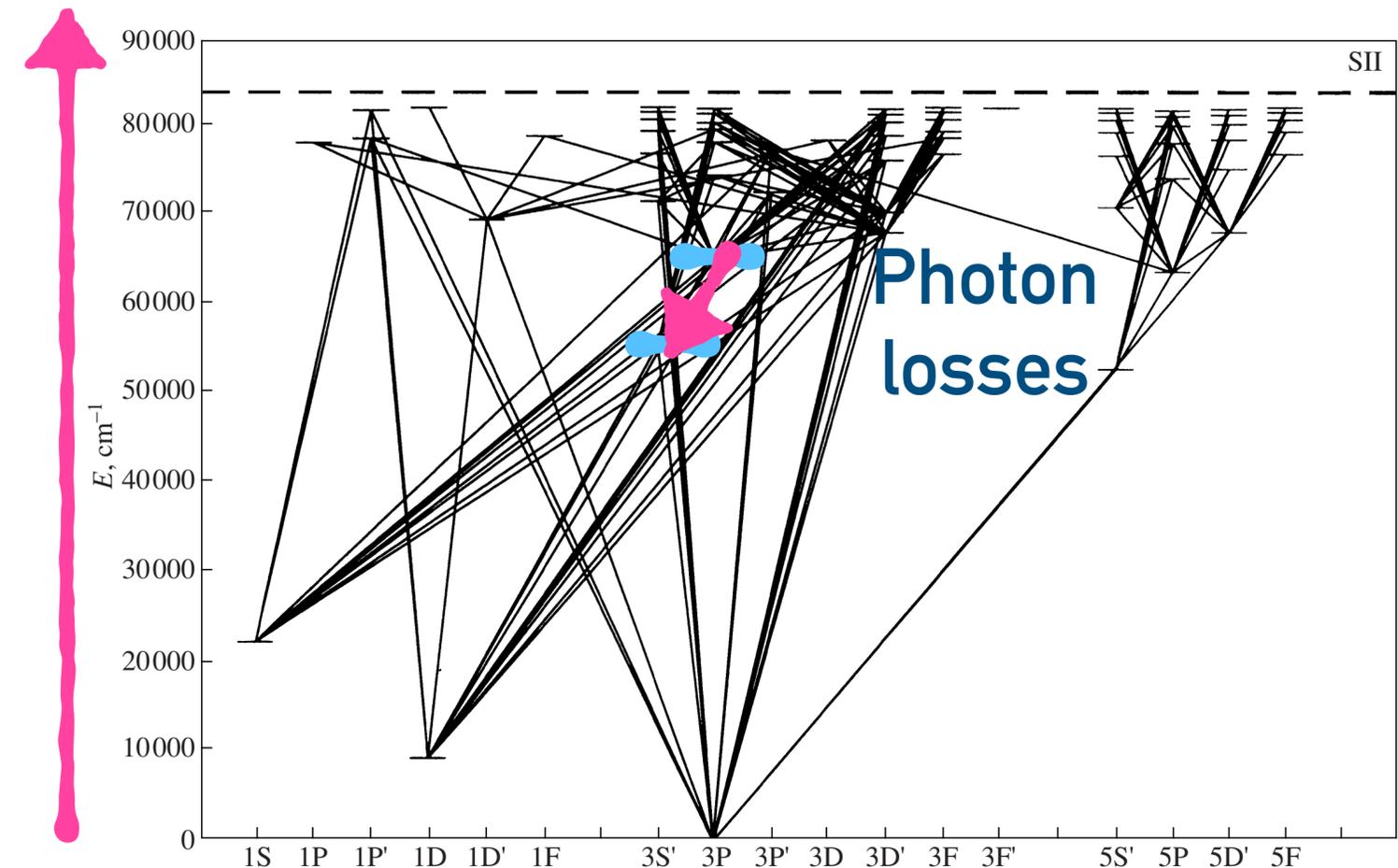


In non-LTE, the **blue** component gets stronger, the **middle** component gets weaker — why?

# S I infrared triplet in detail

- Transition from  $4s\ ^3S_1^*$  to  $4p\ ^3P_{2,0,1}$
- In the model, the  $4p\ ^3P_{2,0,1}$  level populations have identical departures from LTE
- But middle line component ( $4p\ ^3P_0$ ) gets weaker while other line components ( $4p\ ^3P_{2,1}$ ) get stronger
- Understand this by looking at **departure coefficients**

## Overionisation



Various **competing effects**

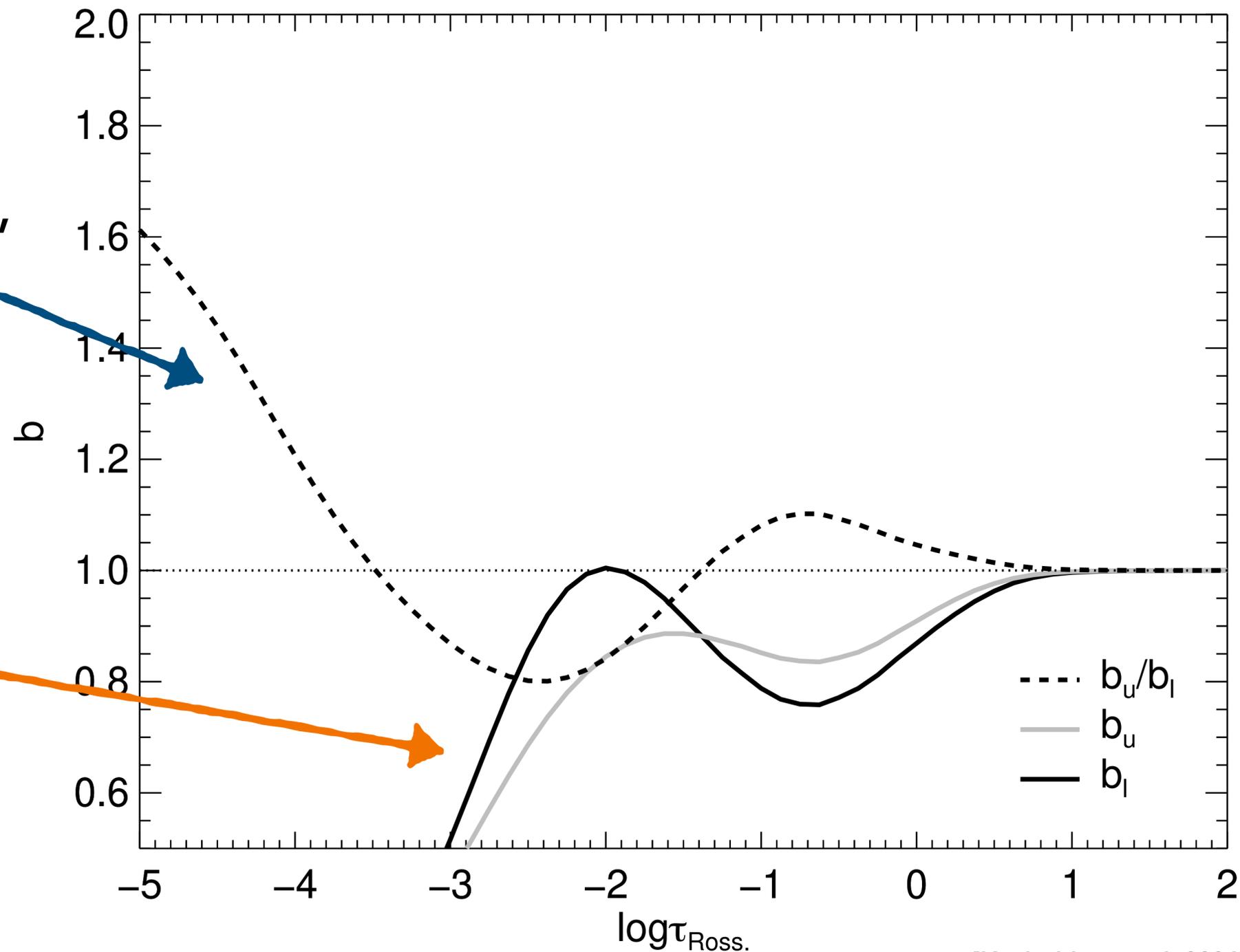
# Departure coefficients $b = \frac{n_{\text{NLTE}}}{n_{\text{LTE}}}$

- Line **source function** goes as ratio of upper and lower level  $b$ 's,  $b_u/b_l$

- Lower source function = stronger line

- Line **opacity** goes as  $b$  of the lower level,  $b_l$

- Higher opacity = stronger line



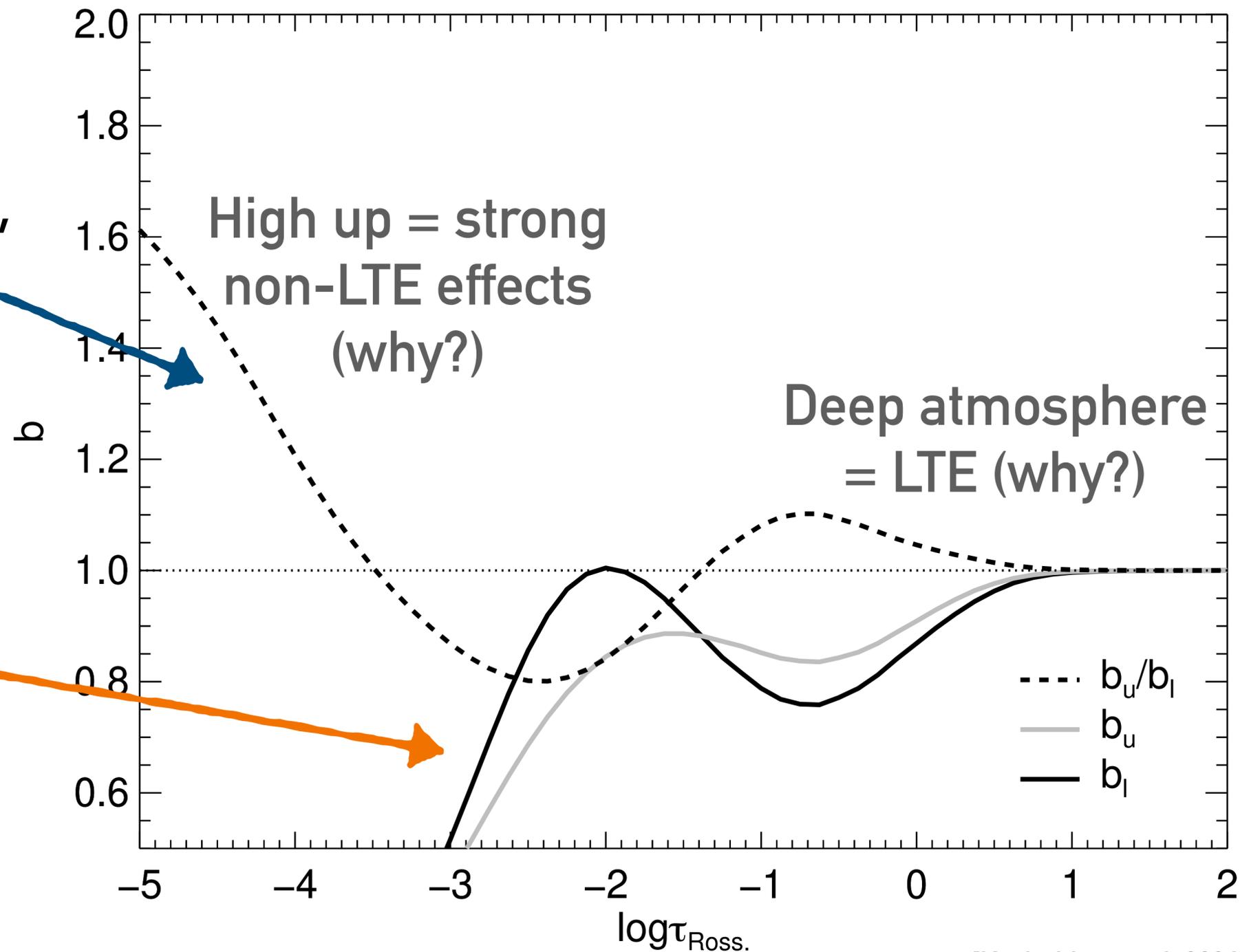
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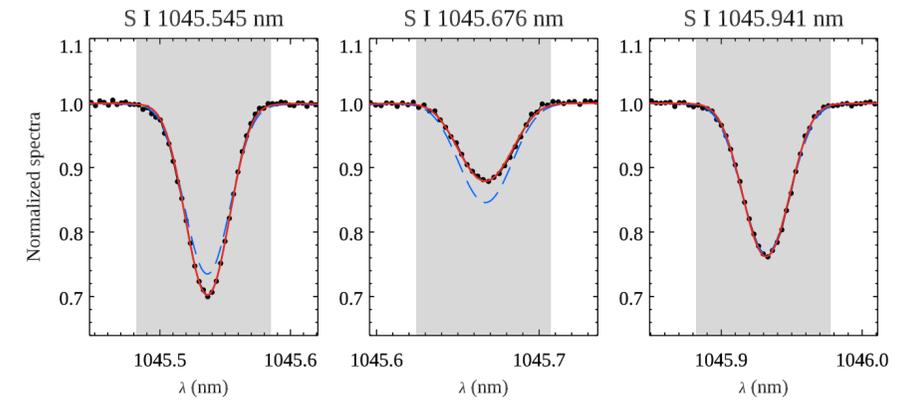
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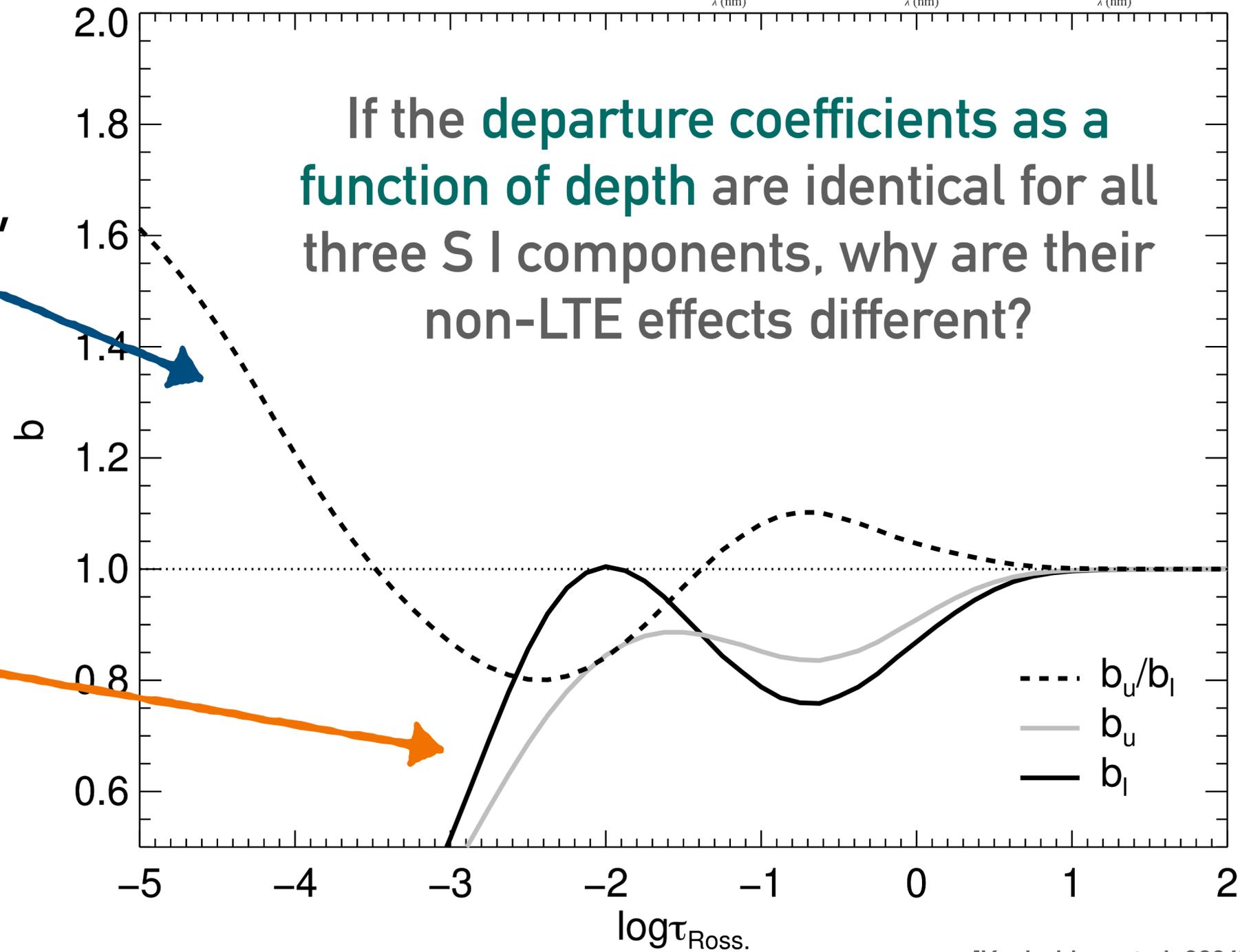
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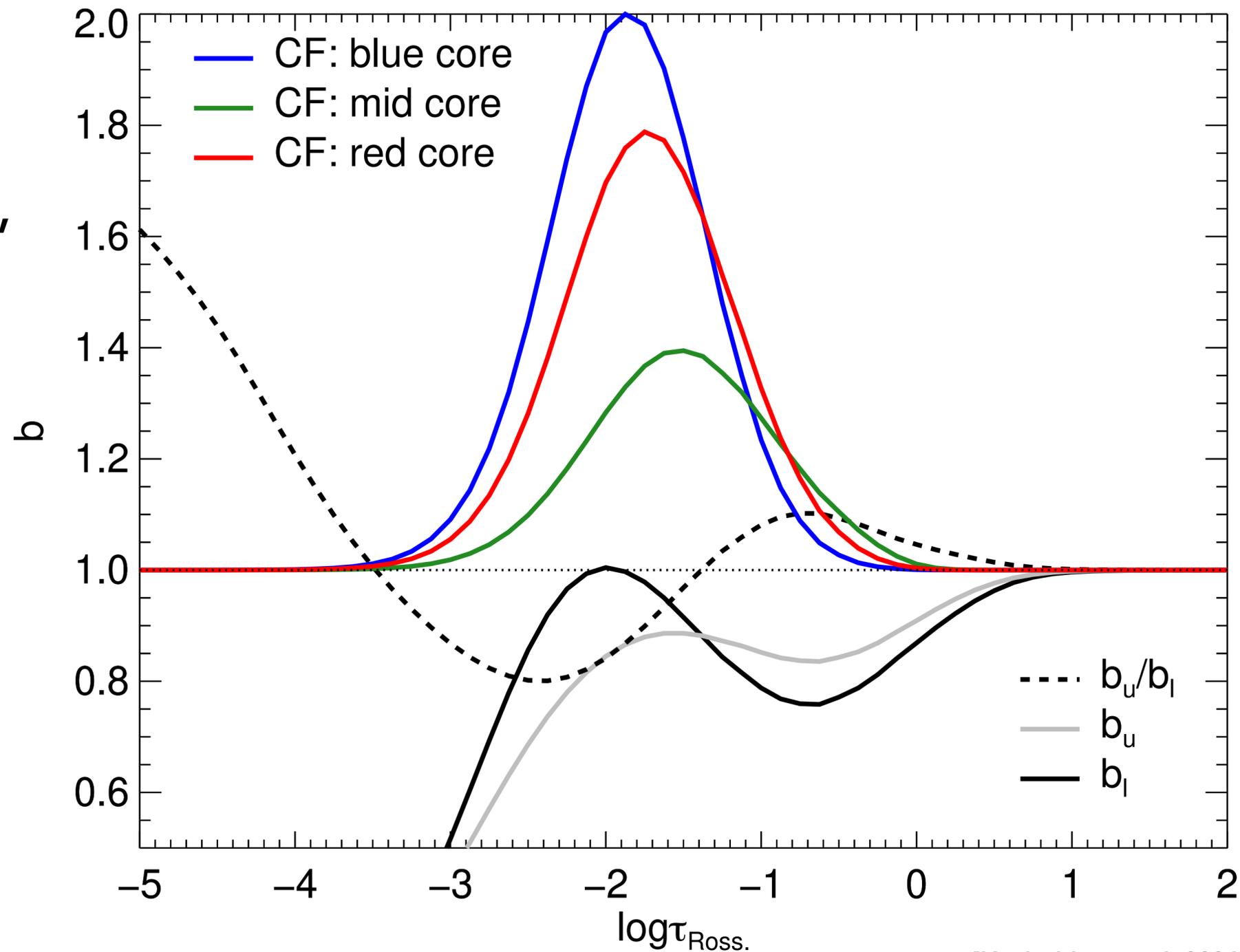


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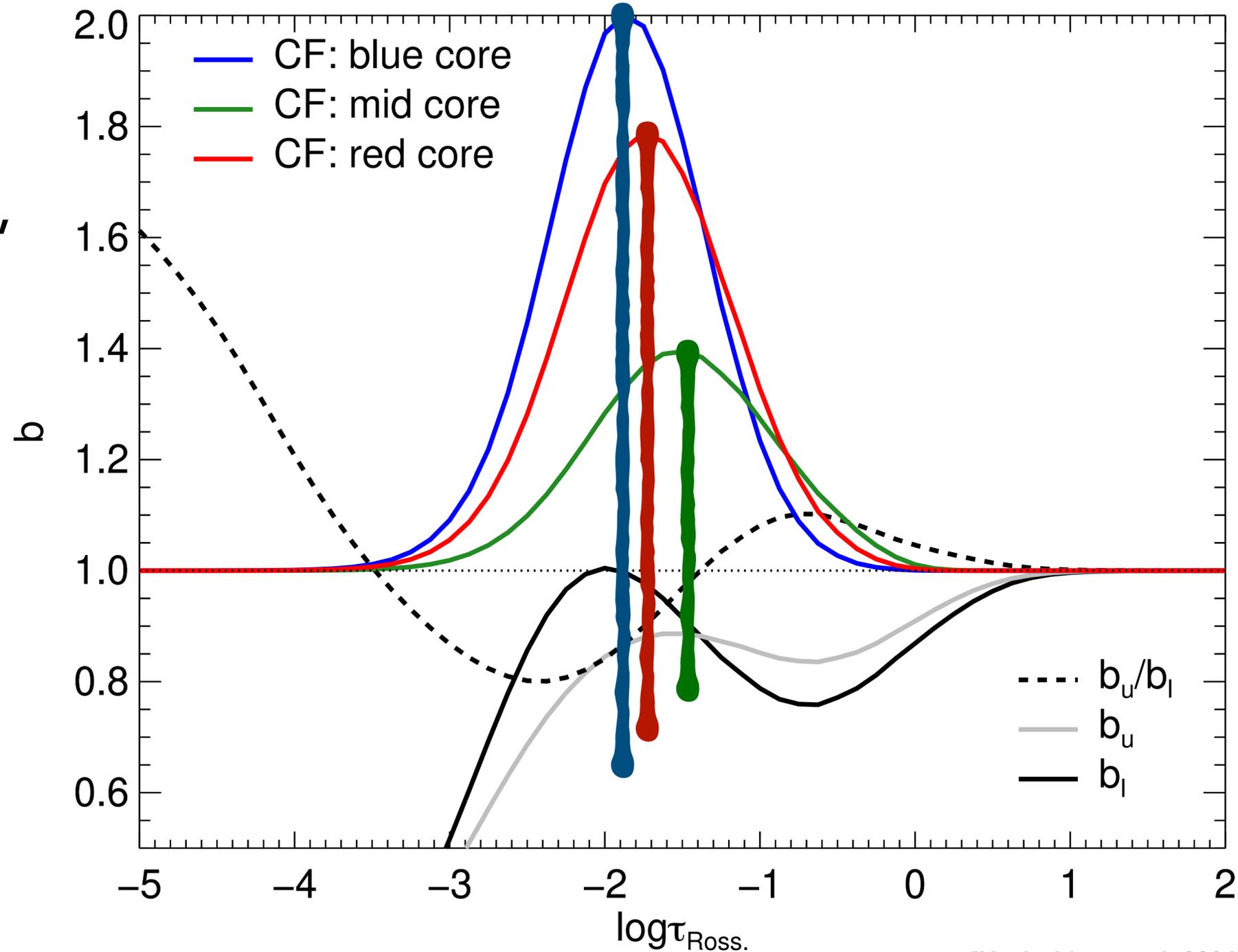
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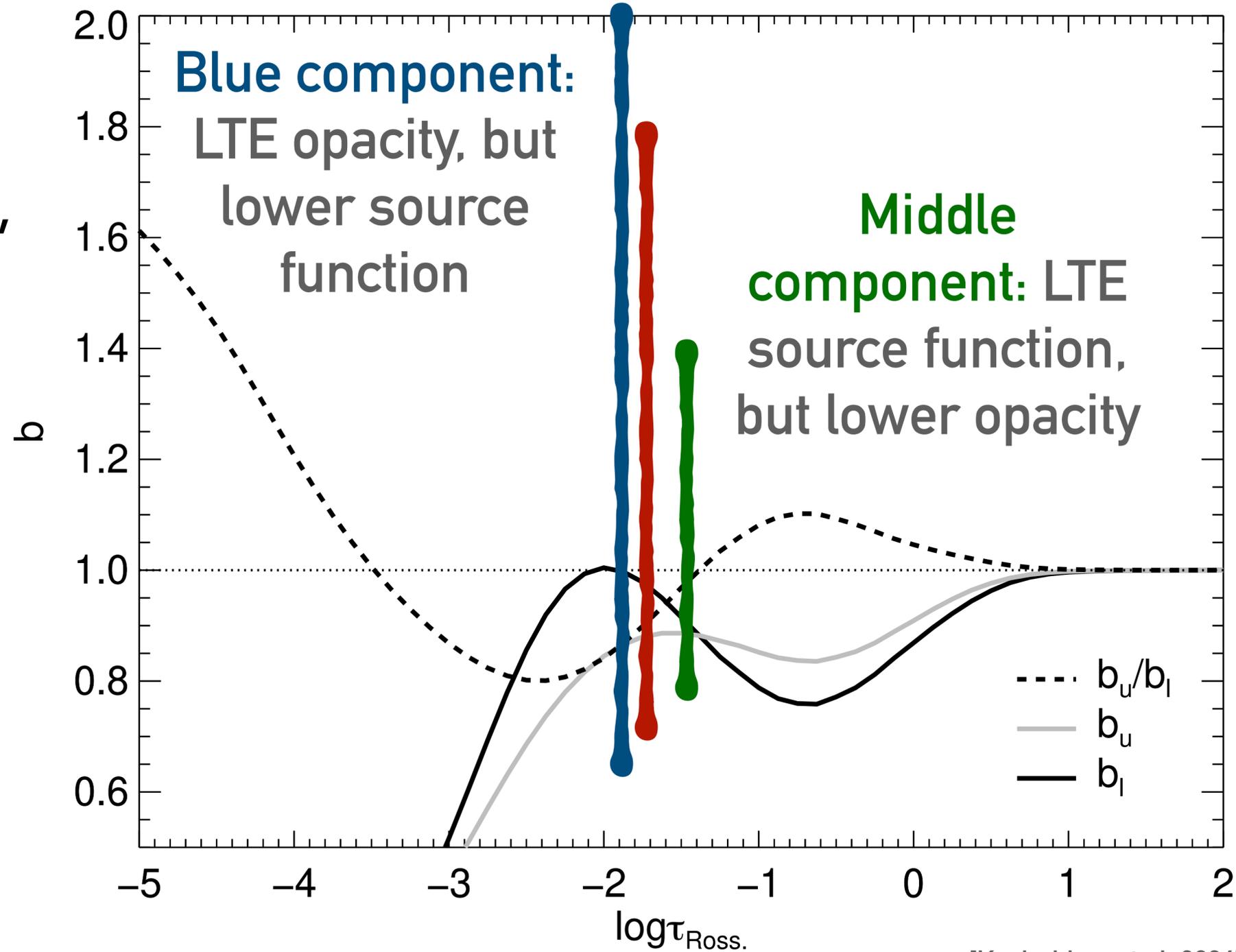
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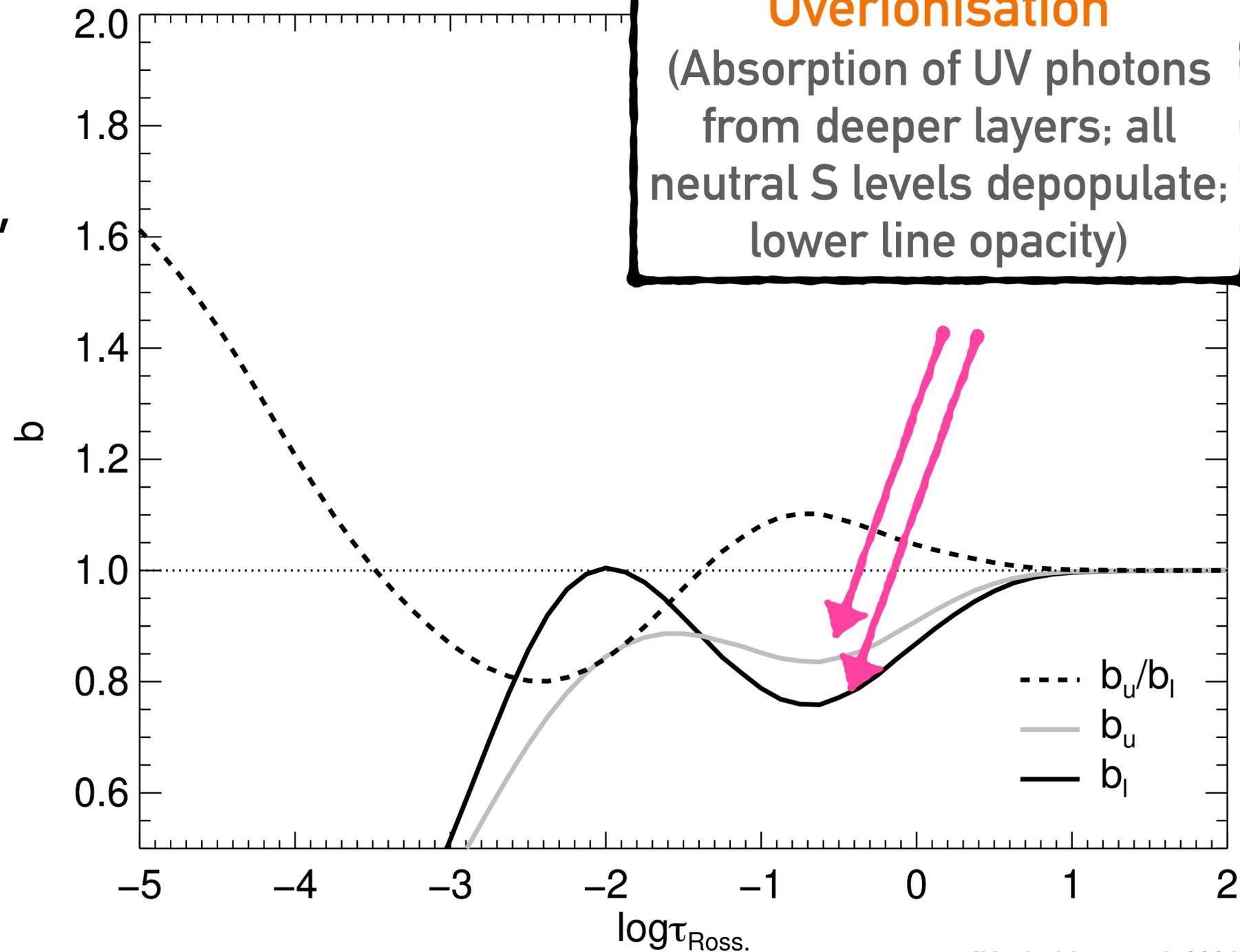
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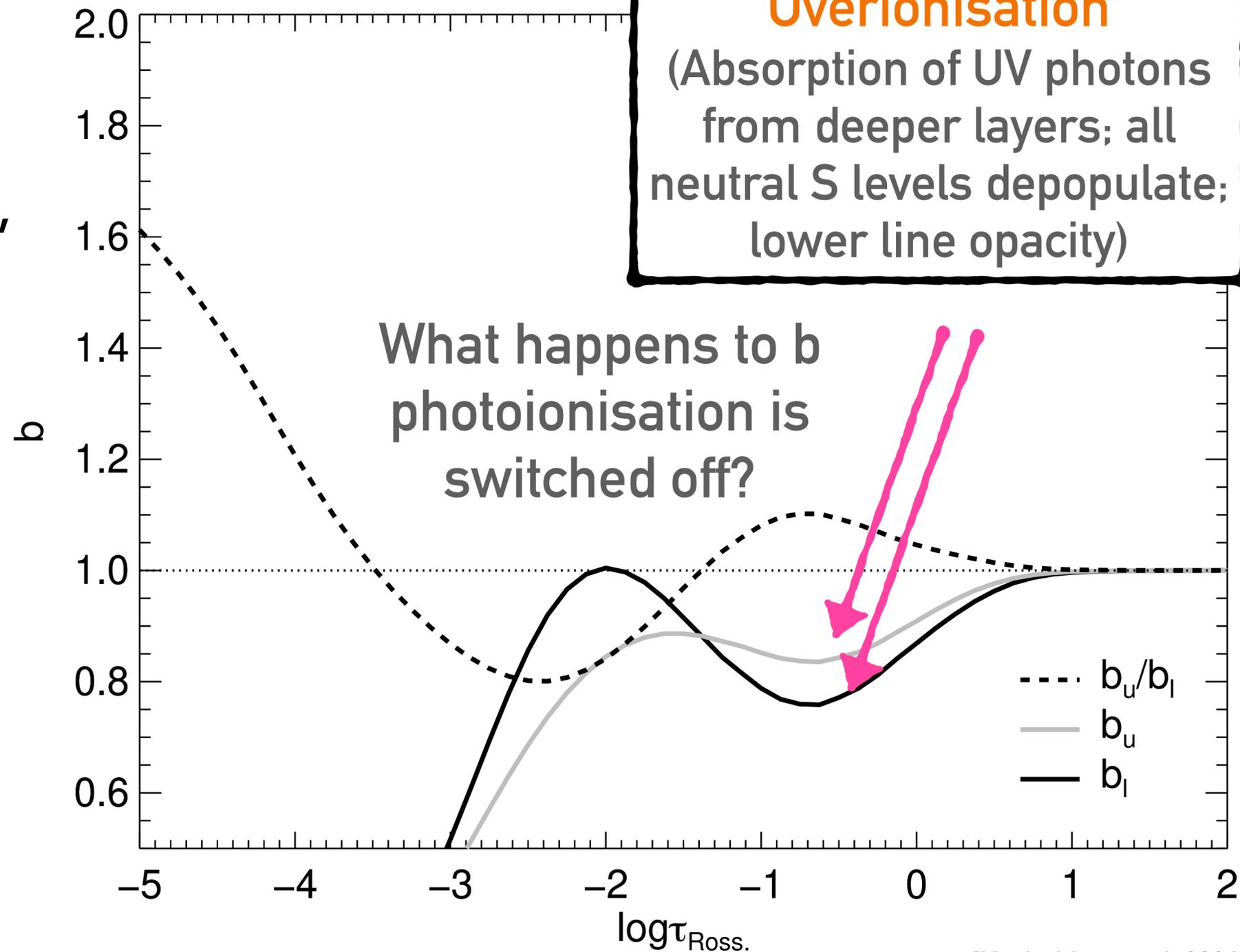
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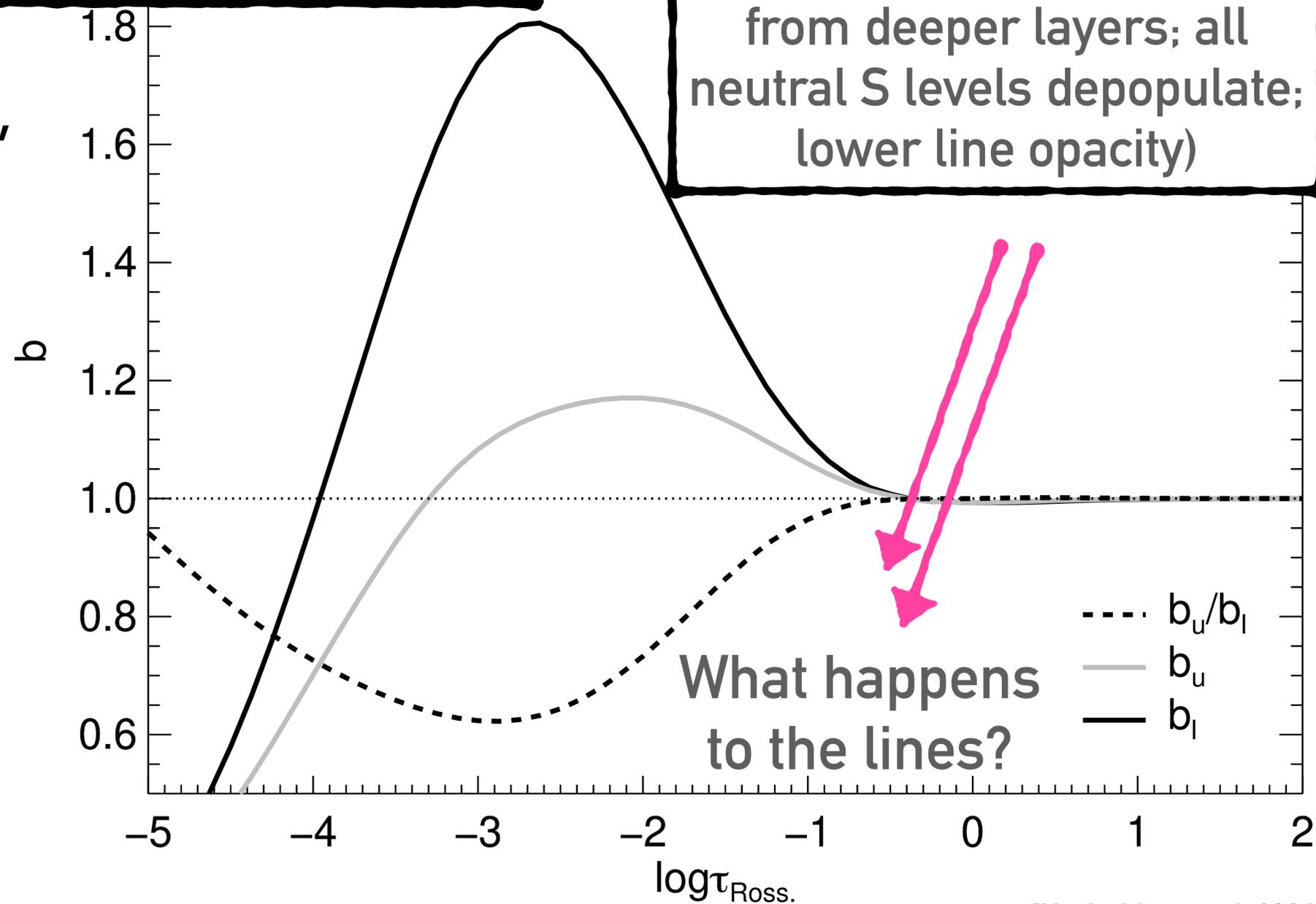
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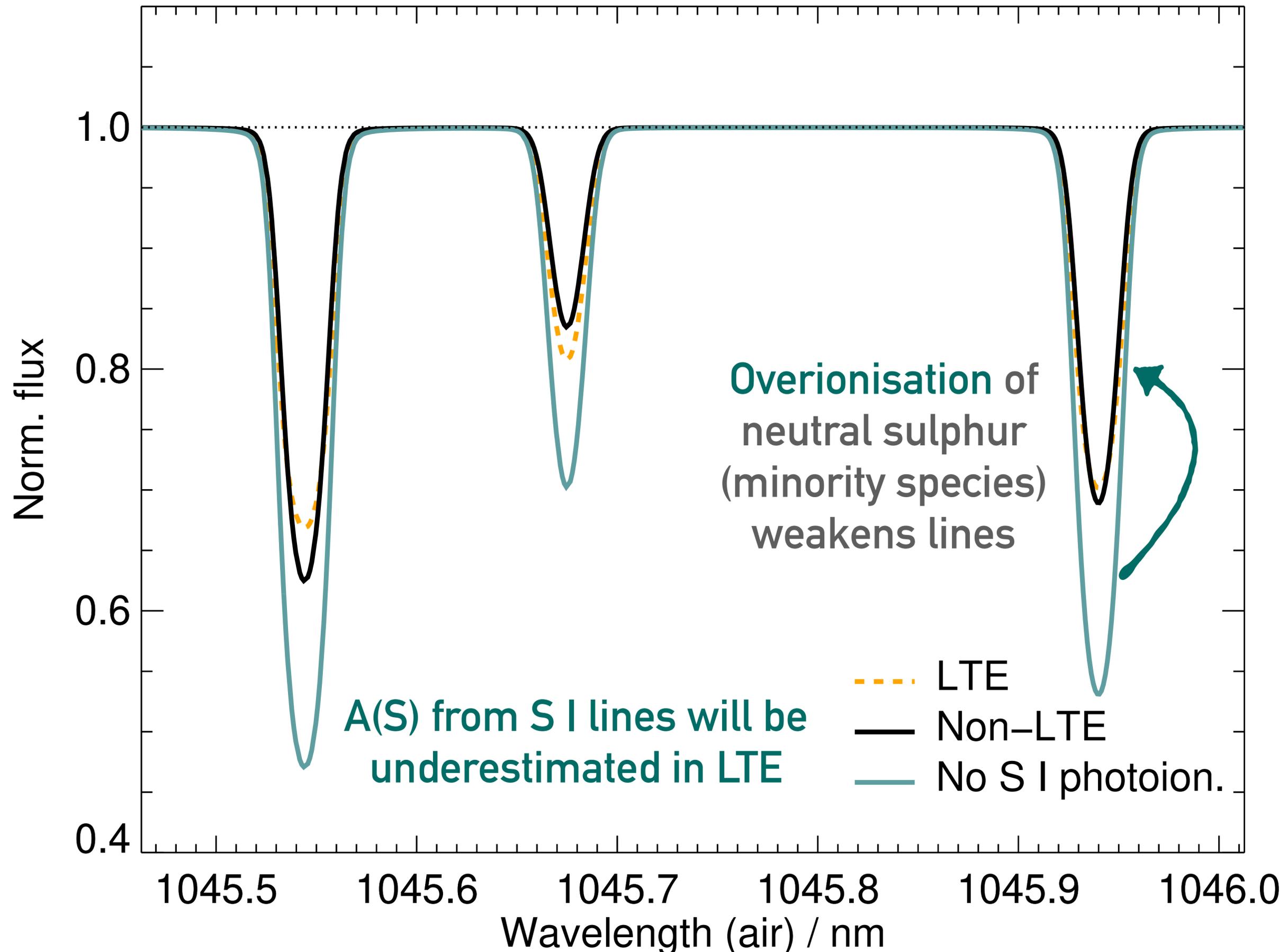
Switching off photoionisation...

## Overionisation

(Absorption of UV photons from deeper layers; all neutral S levels depopulate; lower line opacity)

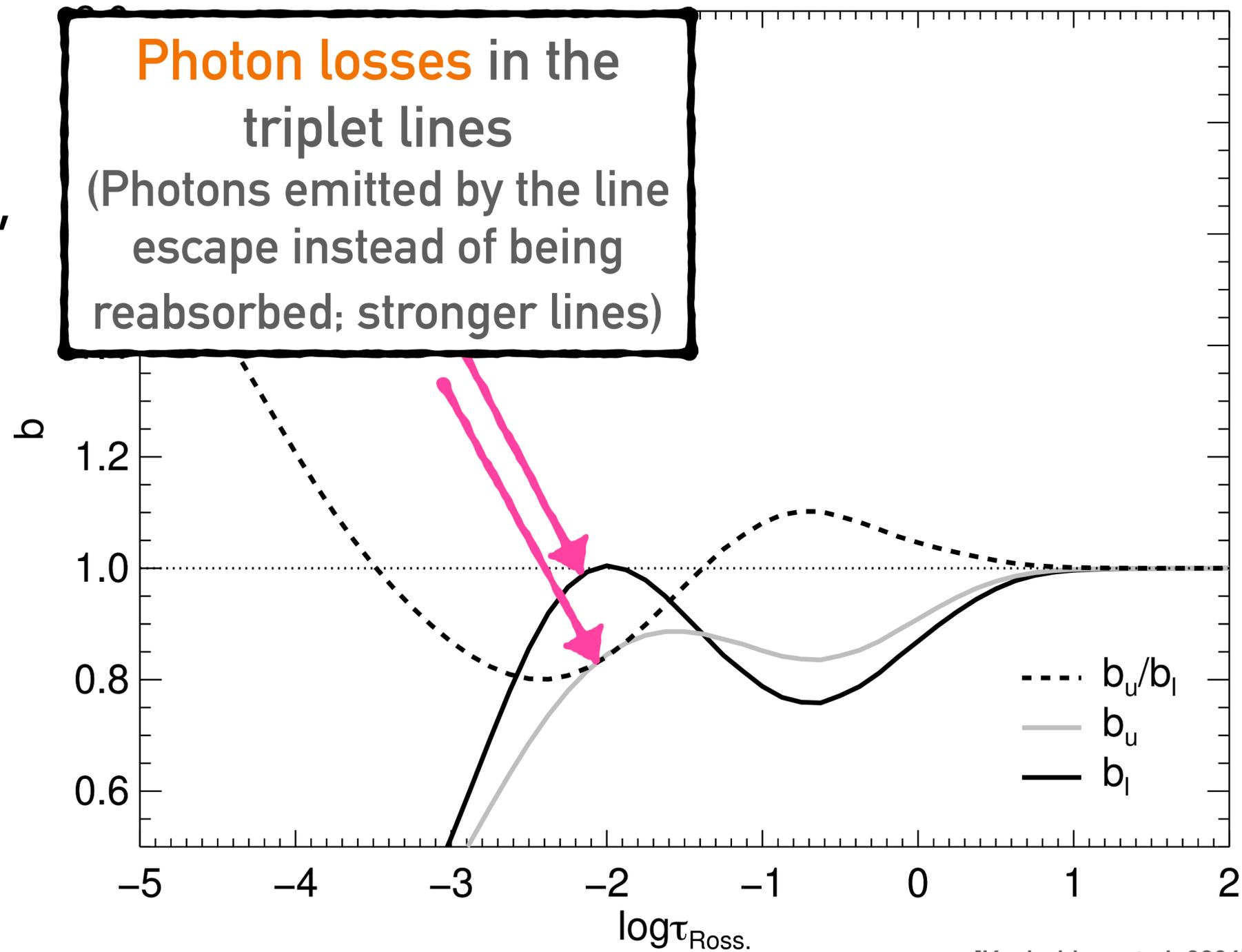
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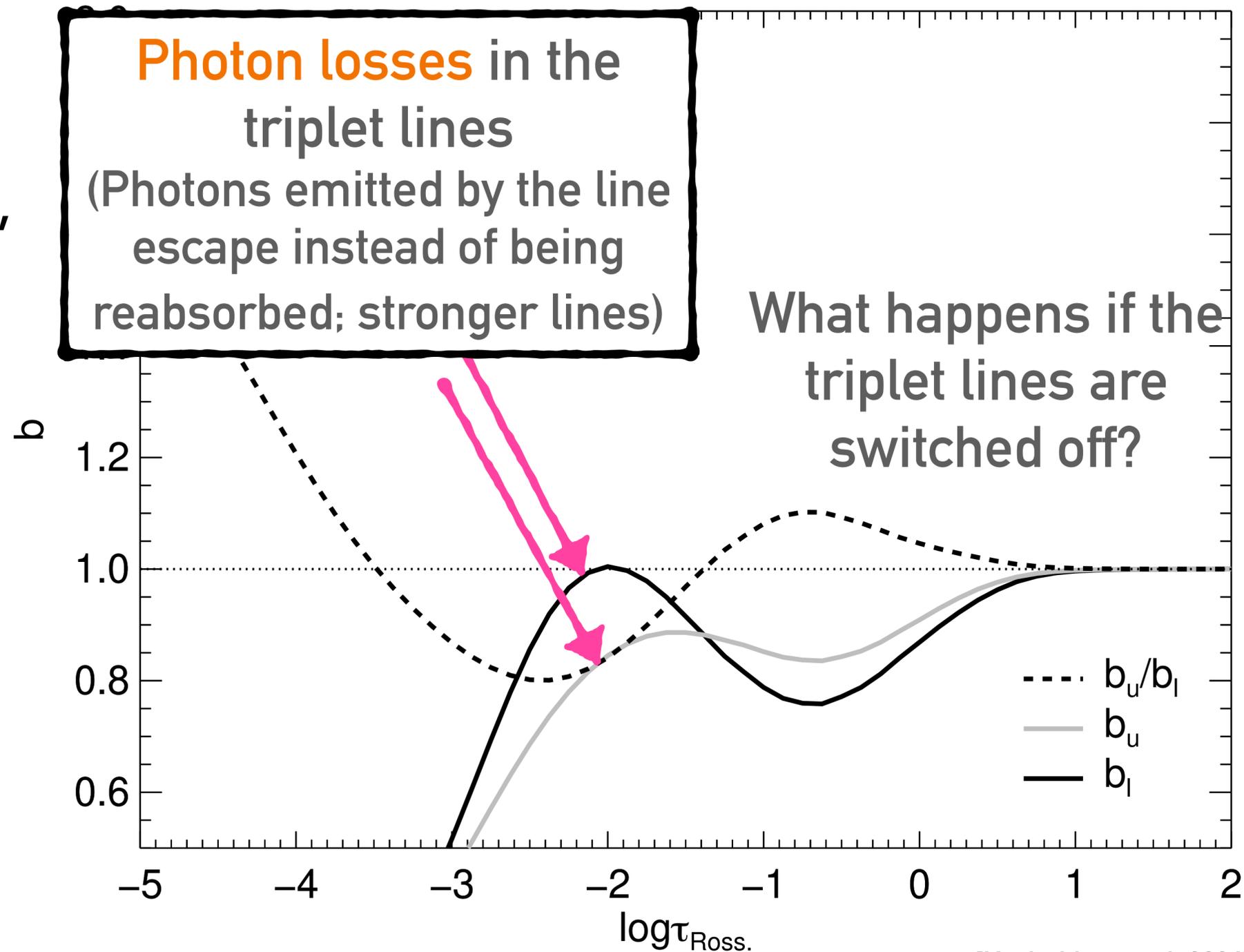
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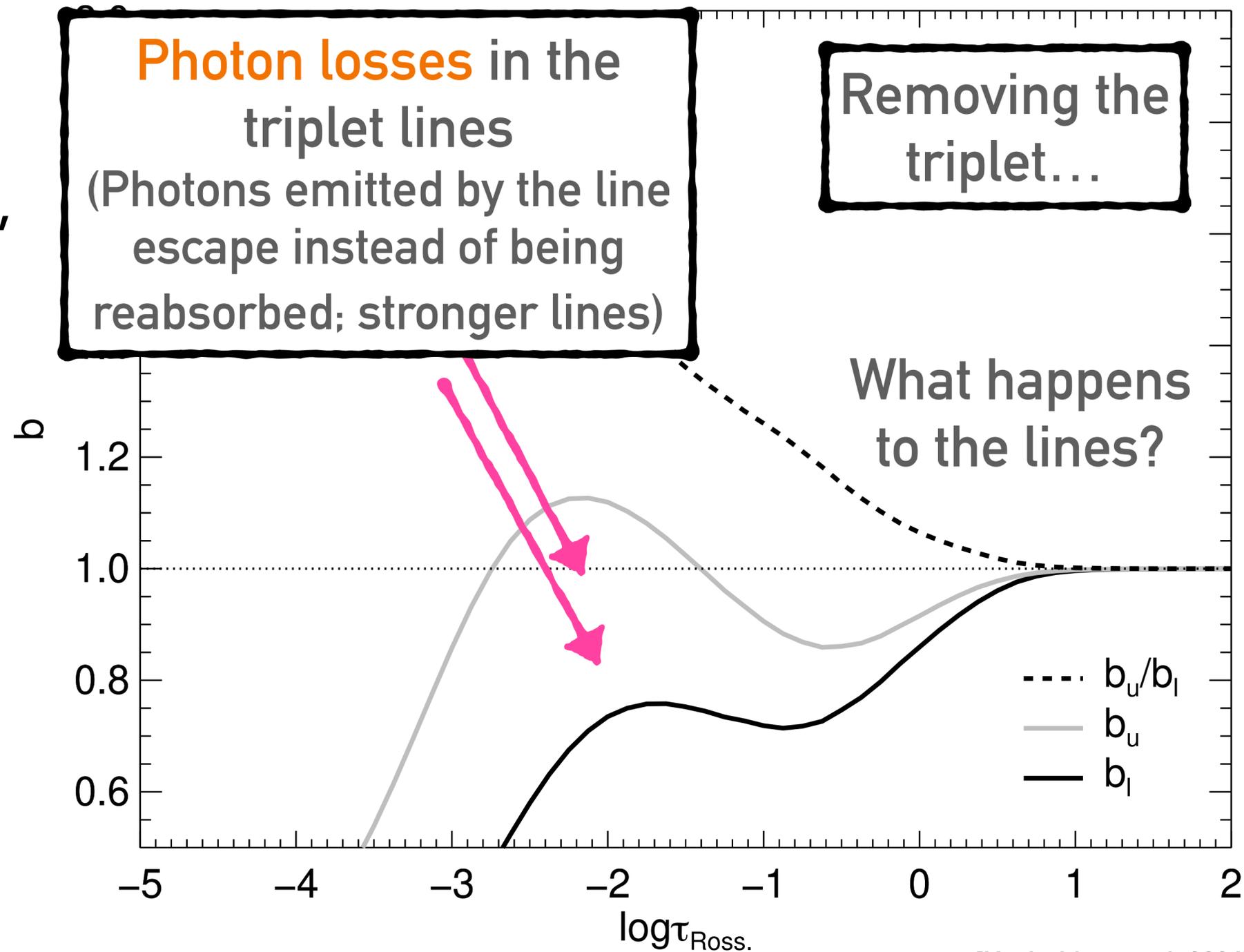
# Departure coefficients $b = \frac{n_{\text{NLTE}}}{n_{\text{LTE}}}$

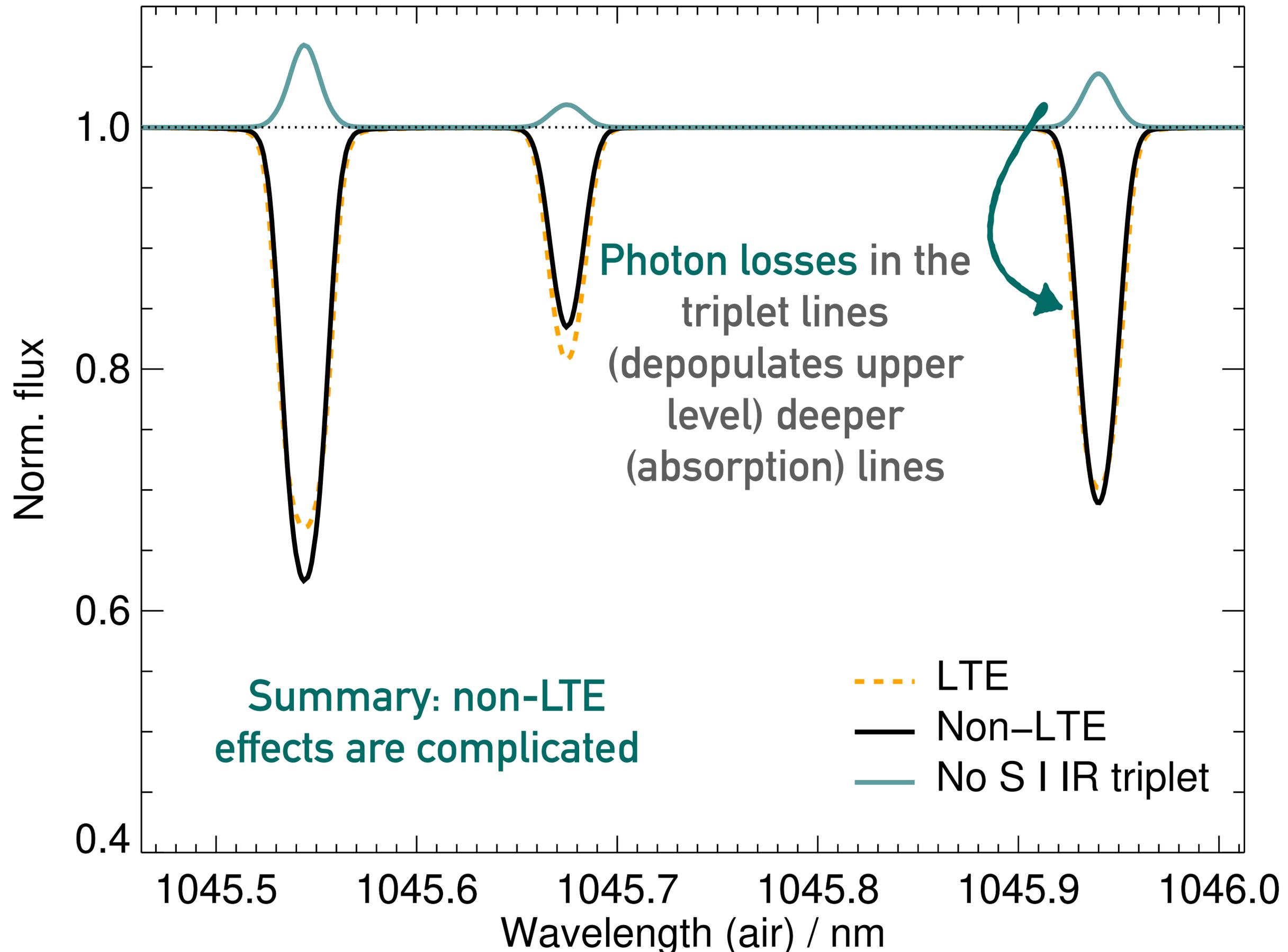
- Line **source function** goes as ratio of upper and lower level  $b$ 's,  $b_u/b_l$ 
  - Lower source function = stronger line
- Line **opacity** goes as  $b$  of the lower level,  $b_l$ 
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# Applying non-LTE corrections

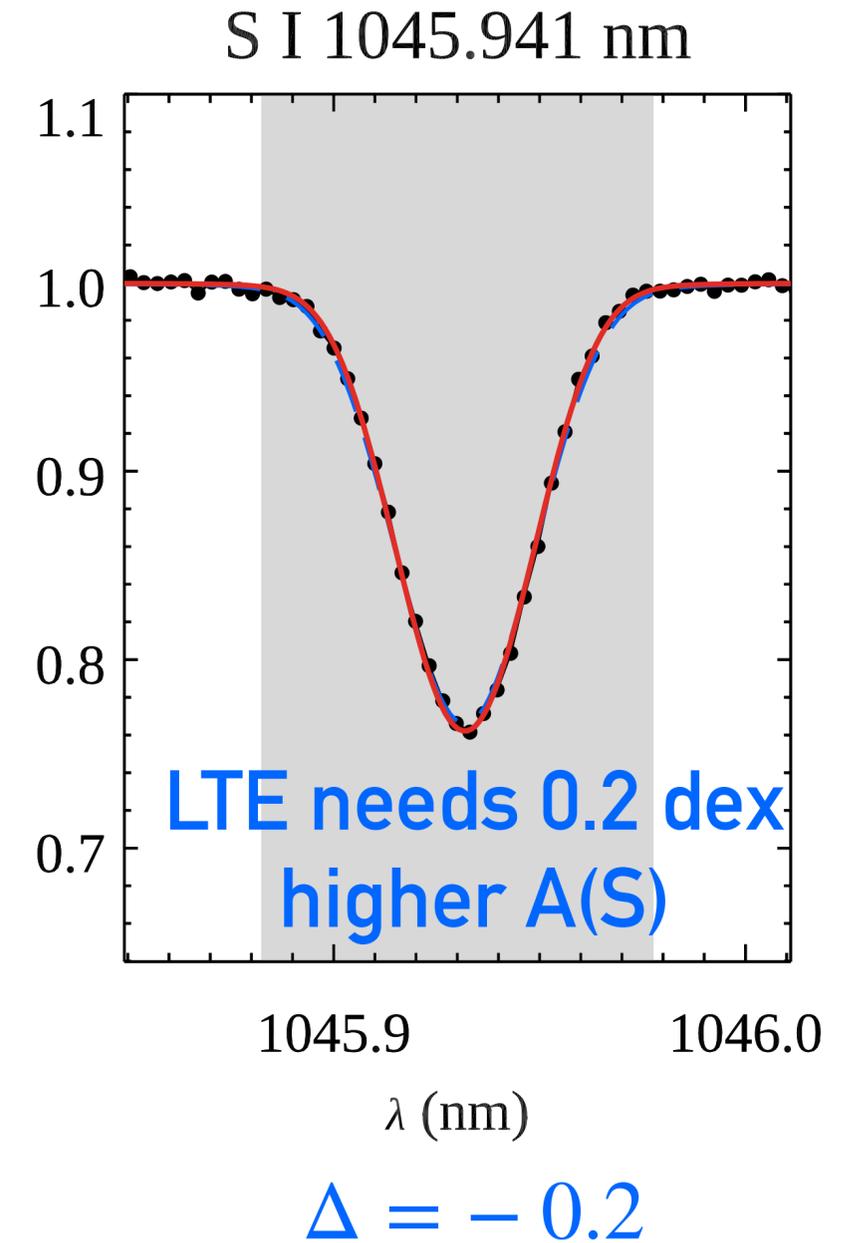
How can we carry out non-LTE spectral analyses in practice?

# Correcting LTE results

- Non-LTE calculations are more expensive than LTE ones
  - For a whole spectrum: 10-50 times more computationally expensive
  - For a single line: 1000-5000 times more computationally expensive
  - Excludes code overheads + the **human cost** of constructing the model atom(s)
- Instead, **users** can correct LTE results with non-LTE data pre-computed by **modellers**
  1. Using abundance corrections
  2. Using departure coefficients

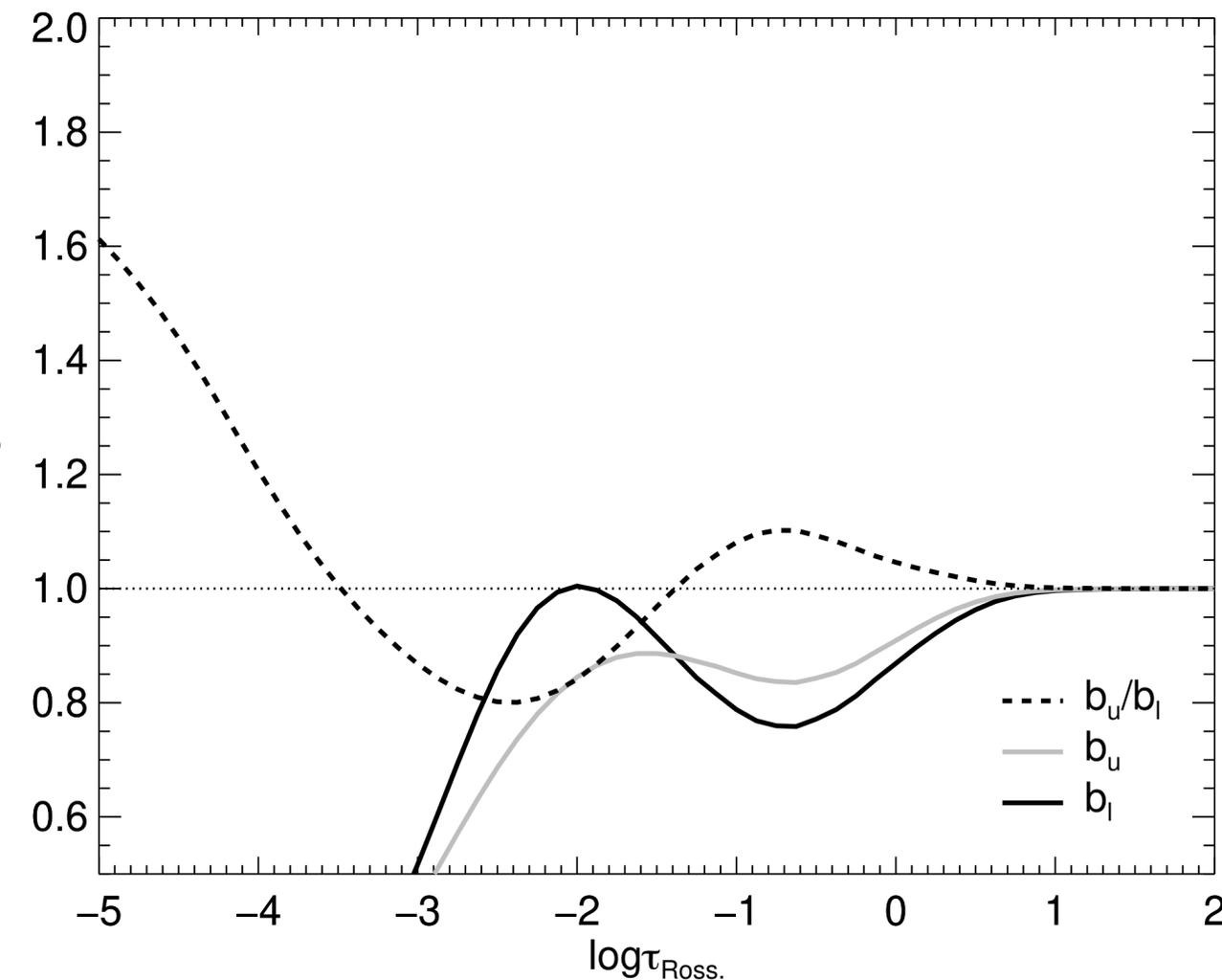
# 1. Abundance corrections

- Given spectral line, model atmosphere, **modeller** calculates spectral lines in non-LTE and in LTE for different abundances
- For a given LTE abundance  $A_{\text{LTE}}$ , **modeller** finds non-LTE abundance  $A_{\text{NLTE}}$  such that non-LTE and LTE equivalent widths agree
- **Modeller** creates a grid of abundance corrections
$$\Delta = A_{\text{NLTE}} - A_{\text{LTE}}$$
- **User** interpolates  $\Delta = \Delta(T_{\text{eff}}, \log g, [\text{Fe}/\text{H}], A_{\text{LTE}}, \xi_{\text{mic.}})$



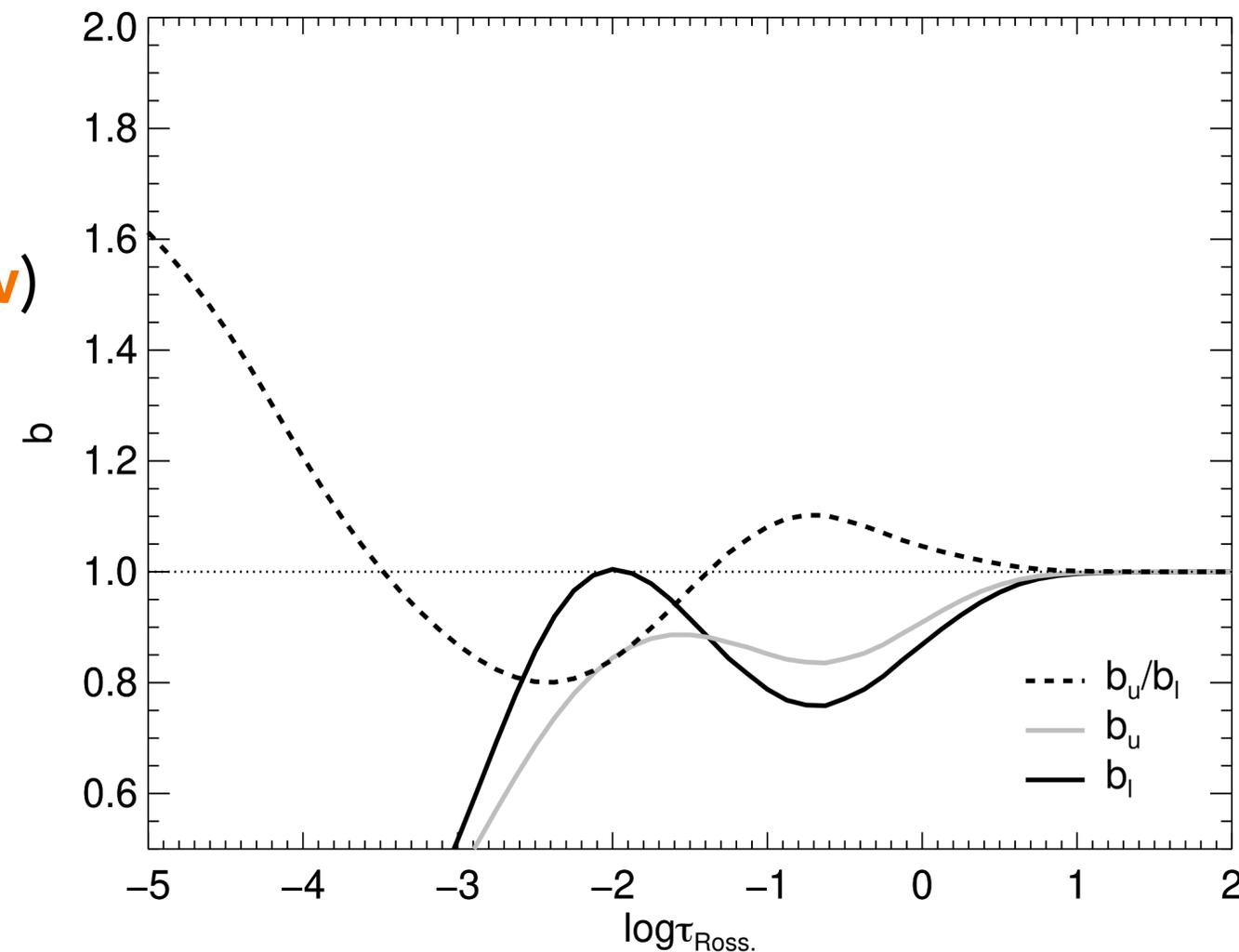
# 2. Departure coefficients

- Given model atmosphere, abundance, **modeller** calculates departure coefficients
- **User** takes departure coefficients  $b = b(T_{\text{eff}}, \log g, [\text{Fe}/\text{H}], A_{\text{NLTE}}, \xi_{\text{mic.}})$  and reads them into an LTE code
- LTE code corrects line opacity using  $b_l$  and line source function using  $b_u/b_l$ , interpolating if necessary
- **User** gets **non-LTE spectra** with LTE cost



# 2. Departure coefficients

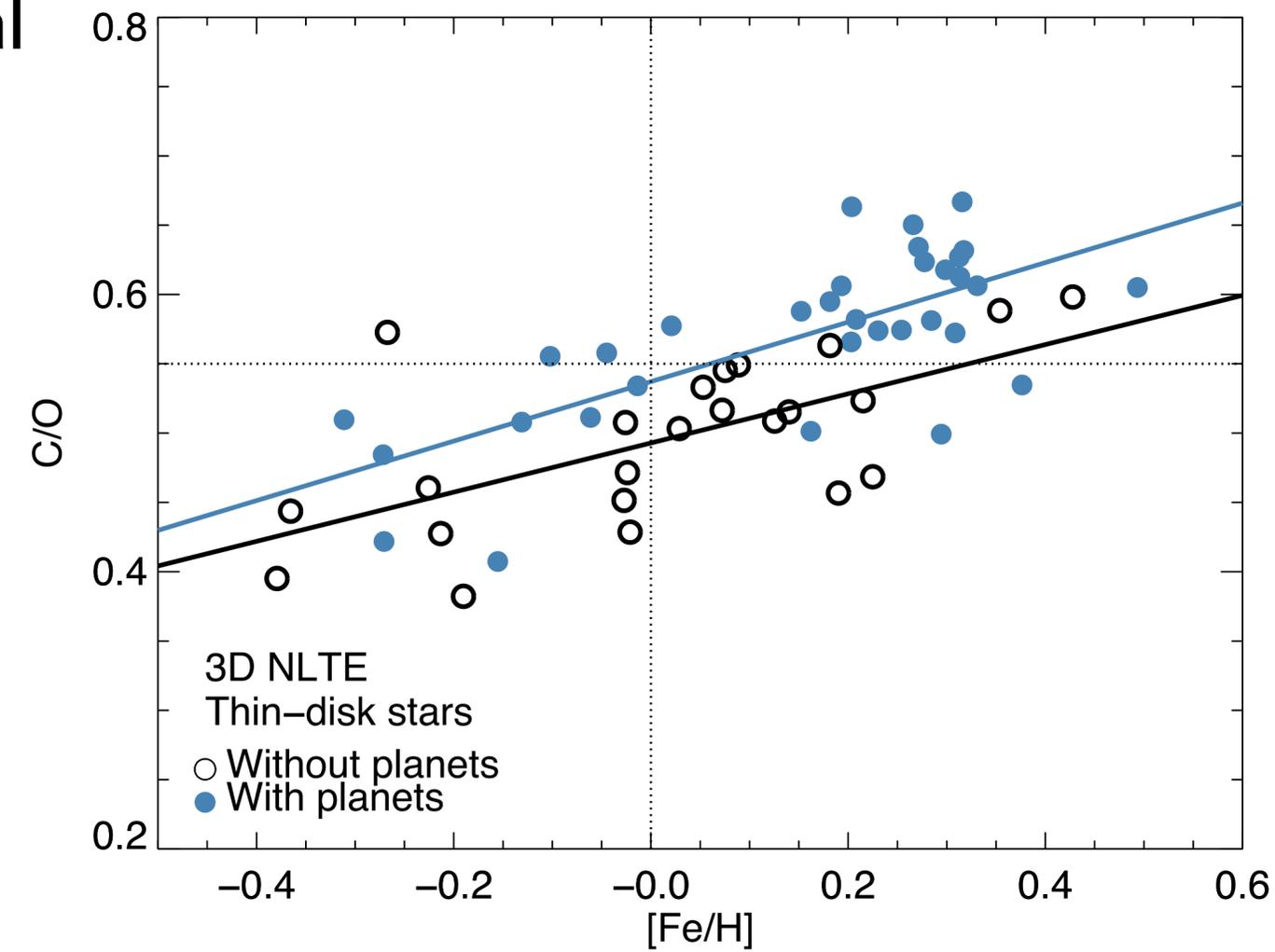
- Many LTE codes support reading and interpolating departure coefficients e.g.
  - PySME (Wehrhahn+ 2023; **Nik's tutorial tomorrow**)
  - Turbospectrum (Gerberg+ 2023)
  - **Synmast** (Kochukhov+ 2010)
  - (Various others)
- Straightforward to implement into your favourite LTE code



**Conclusion**

# Conclusion

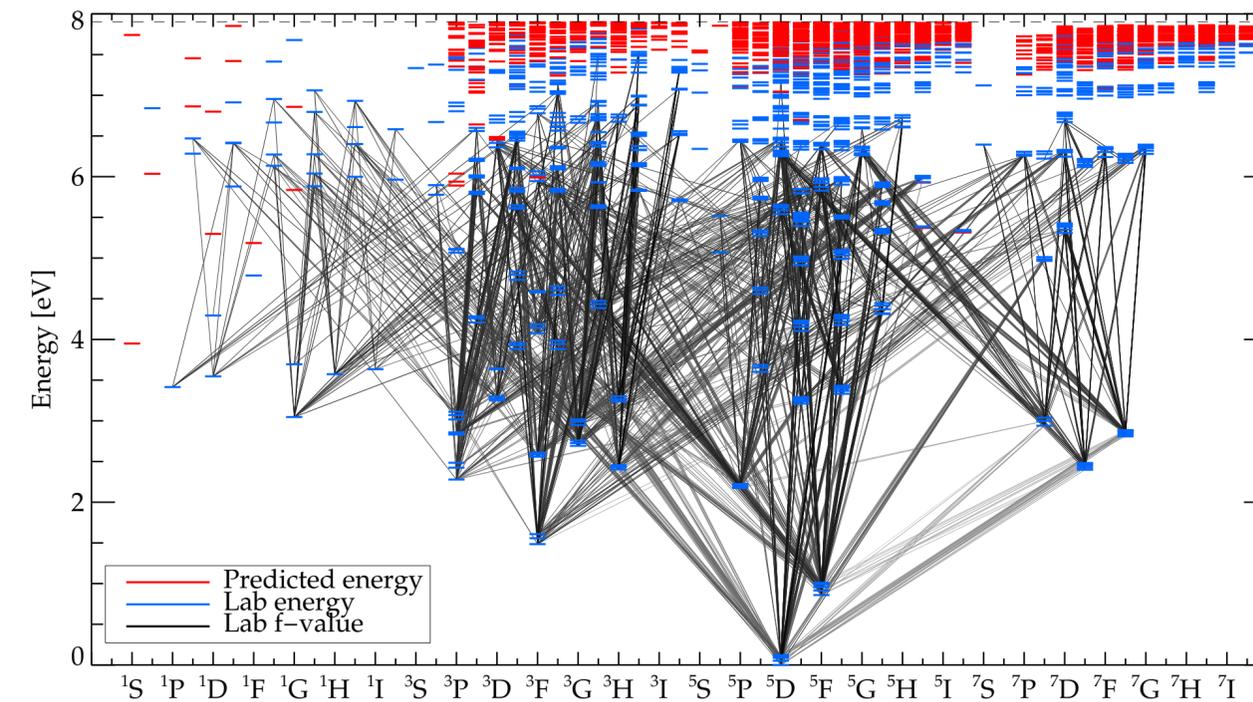
- Taking non-LTE effects into account can reveal interesting **new astrophysics**



# Conclusion

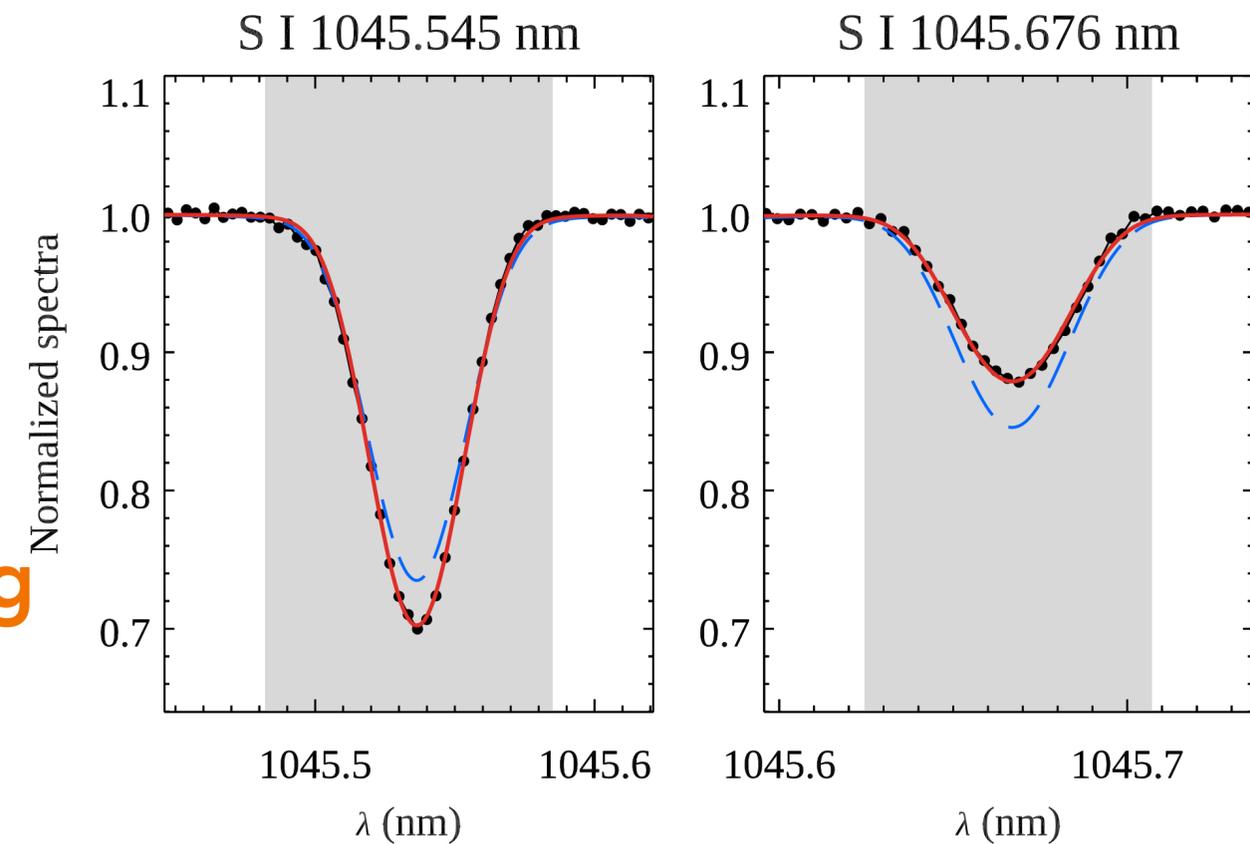
- Taking non-LTE effects into account can reveal interesting **new astrophysics**
- LTE is valid in the limit of **large collisions**; but anticipate large non-LTE effects in hot stars

$$n_i \sum_j [R_{ij} + C_{ij}] = \sum_j n_j [R_{ji} + C_{ji}]$$



# Conclusion

- Taking non-LTE effects into account can reveal interesting **new astrophysics**
- LTE is valid in the limit of **large collisions**; but anticipate large non-LTE effects in hot stars
- Non-LTE **line strengthening and line weakening** effects, depending on the stellar parameters, abundances, species, and spectral line



# Conclusion

- Taking non-LTE effects into account can reveal interesting **new astrophysics**
- LTE is valid in the limit of **large collisions**; but anticipate large non-LTE effects in hot stars
- Non-LTE **line strengthening and line weakening** effects, depending on the stellar parameters, abundances, species, and spectral line
- Interpolate **pre-computed grids of departure coefficients** to get non-LTE spectra on the fly

## Non-LTE with BALDER Synthesis with SYNMAST

